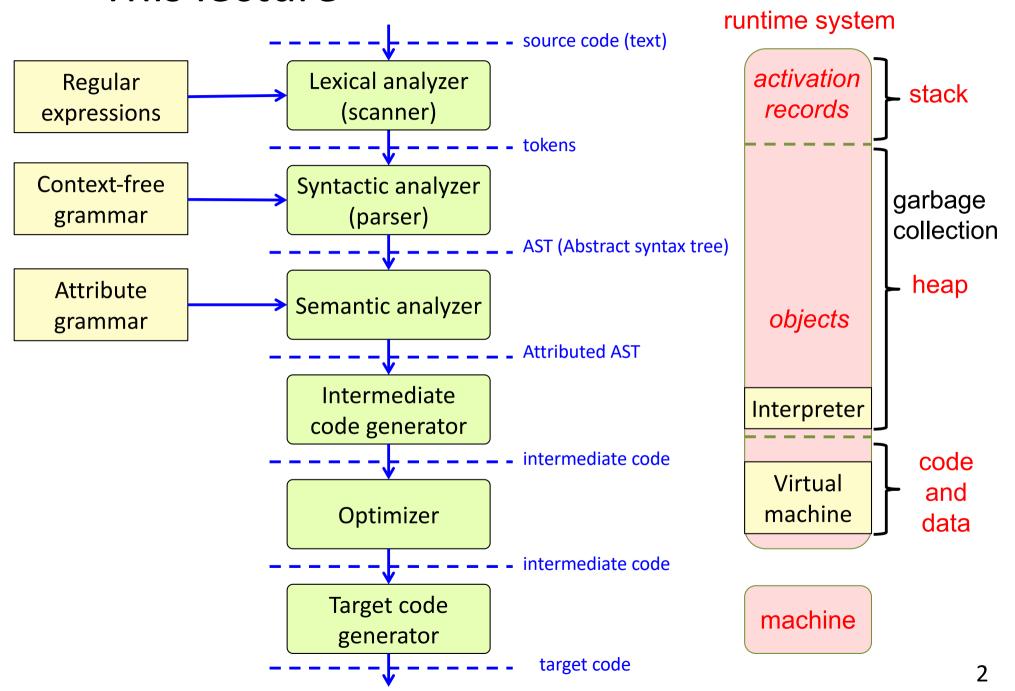
EDAN65: Compilers, Lecture 12

Runtime systems for object-oriented languages

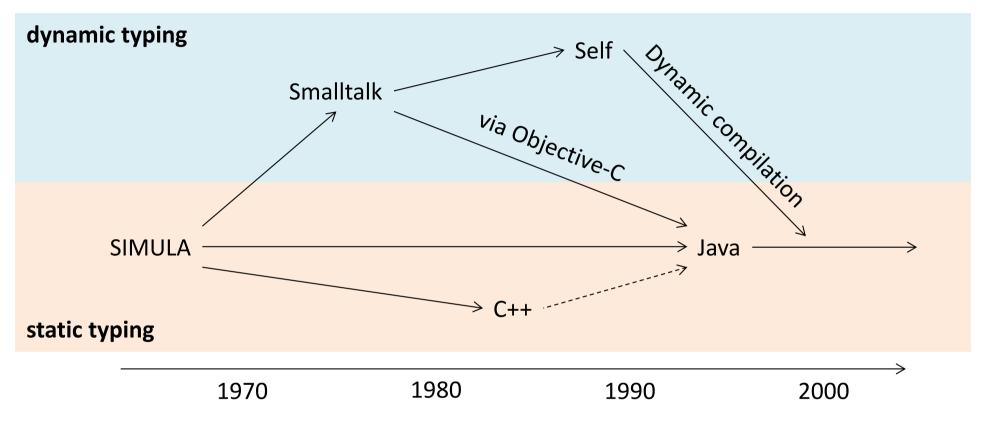
Görel Hedin

Revised: 2021-10-05

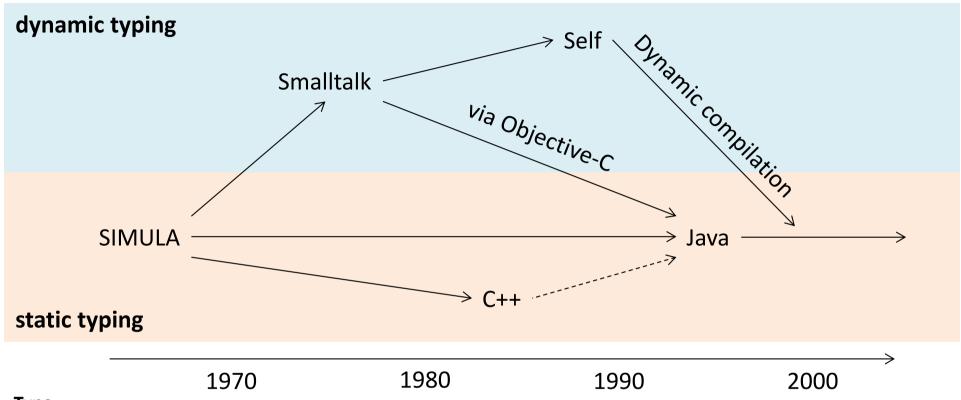
This lecture



Some influential OO languages



Some influential OO languages



Type

A type is a set of values or objects

At runtime, every object has a type (the class used for creating the object).

Dynamic typing

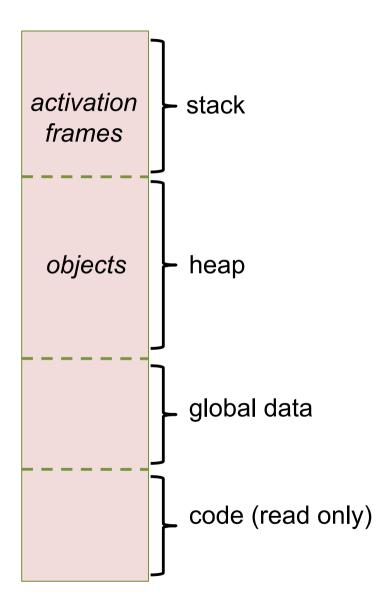
There are no types for things at compile-time. Only for objects at runtime.

Static typing

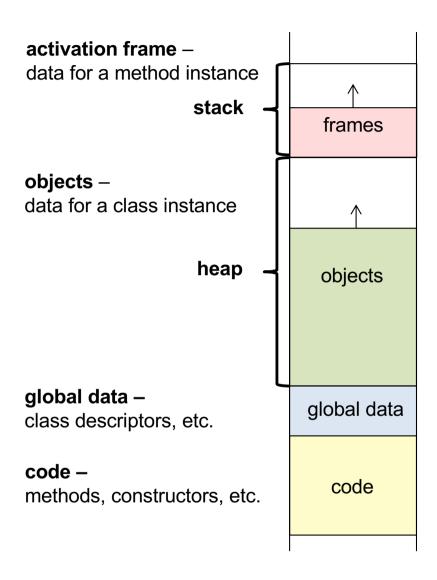
Variables have types at compile-time.

At runtime, the variable points to an object of at least that type (that type, or a subtype).

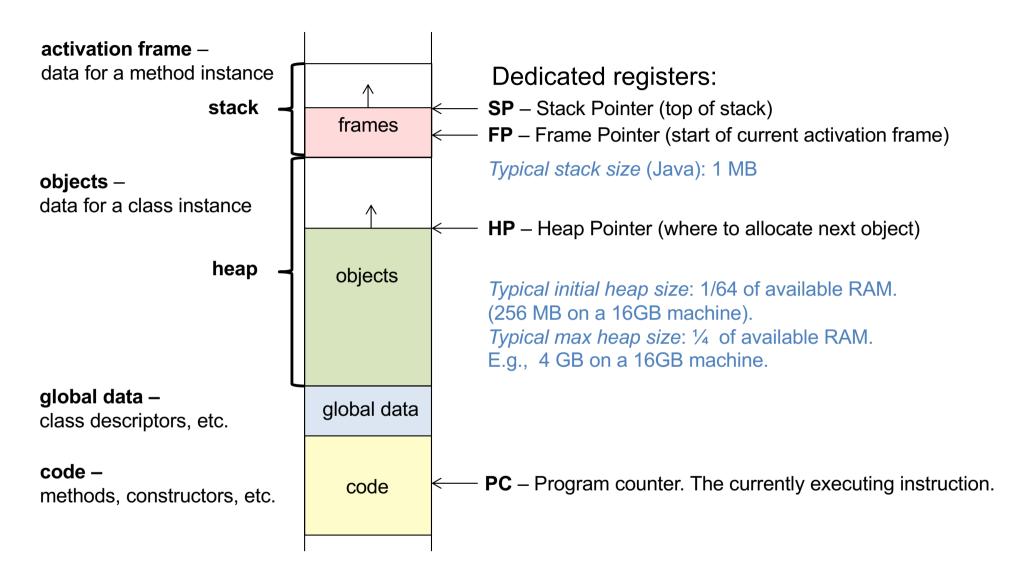
Example memory segments



Typical memory usage for OO languages

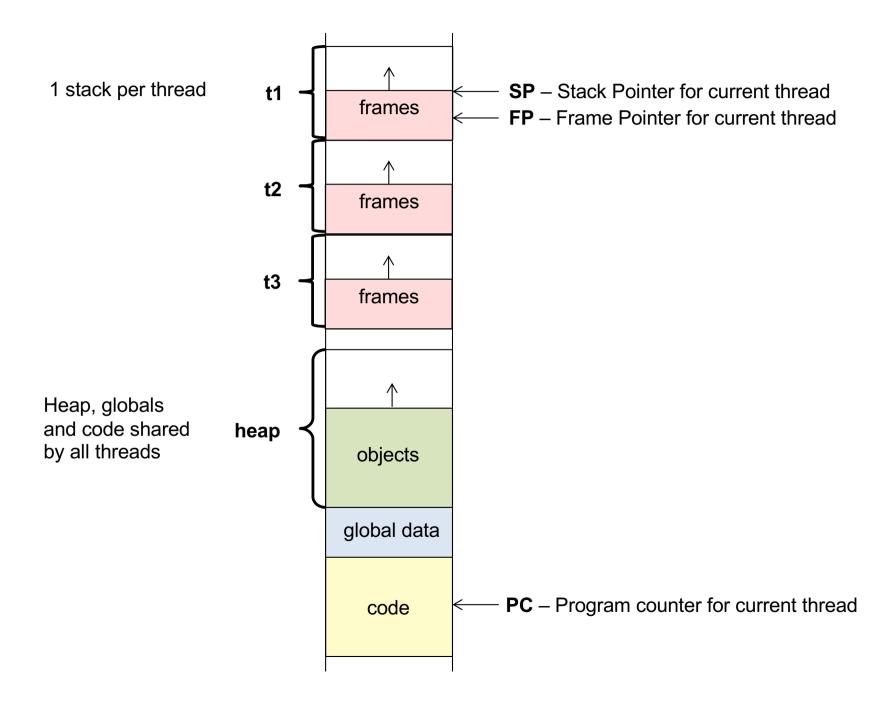


Typical memory usage for OO languages

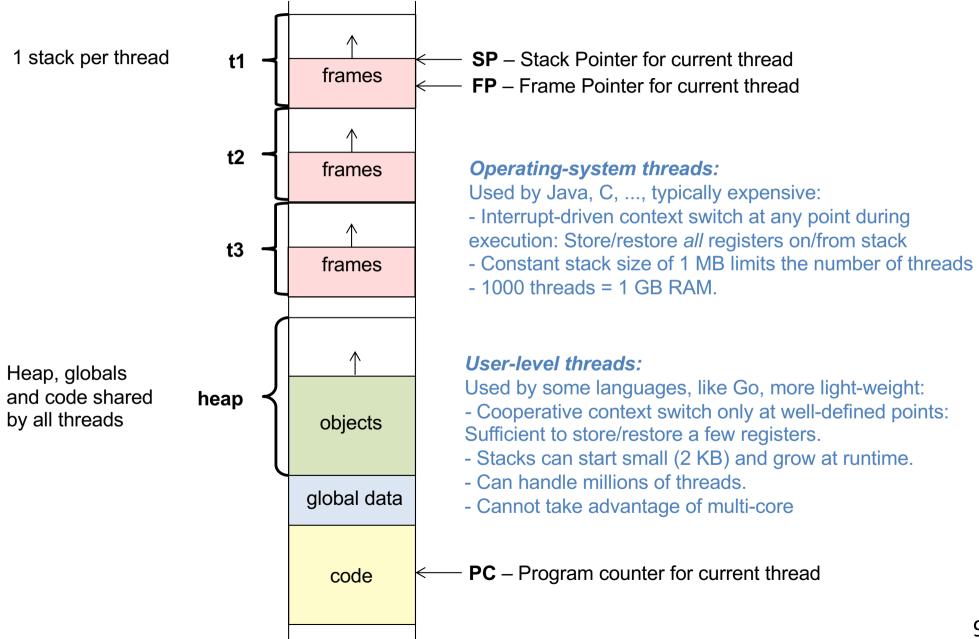


Dynamic class loading (like in Java): Would put class descriptors and code in heap objects instead of in separate data/code segments.

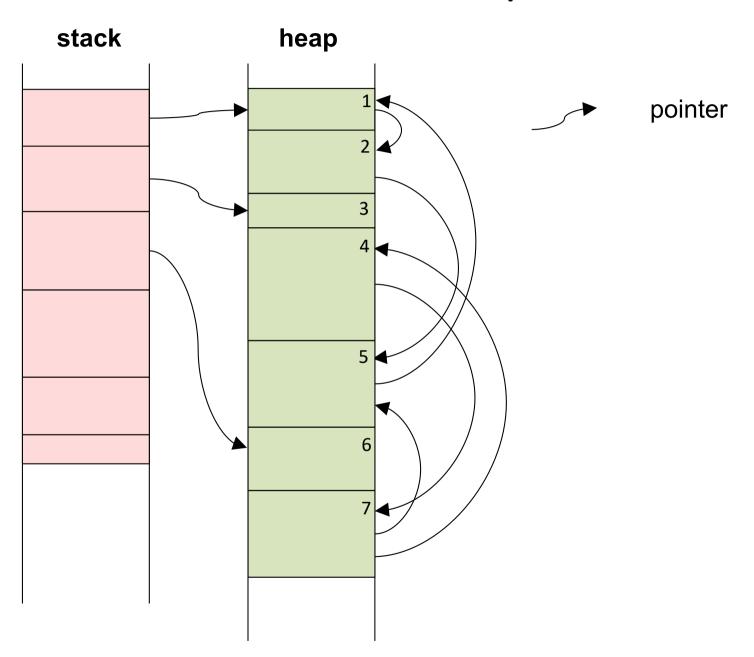
Handling threads



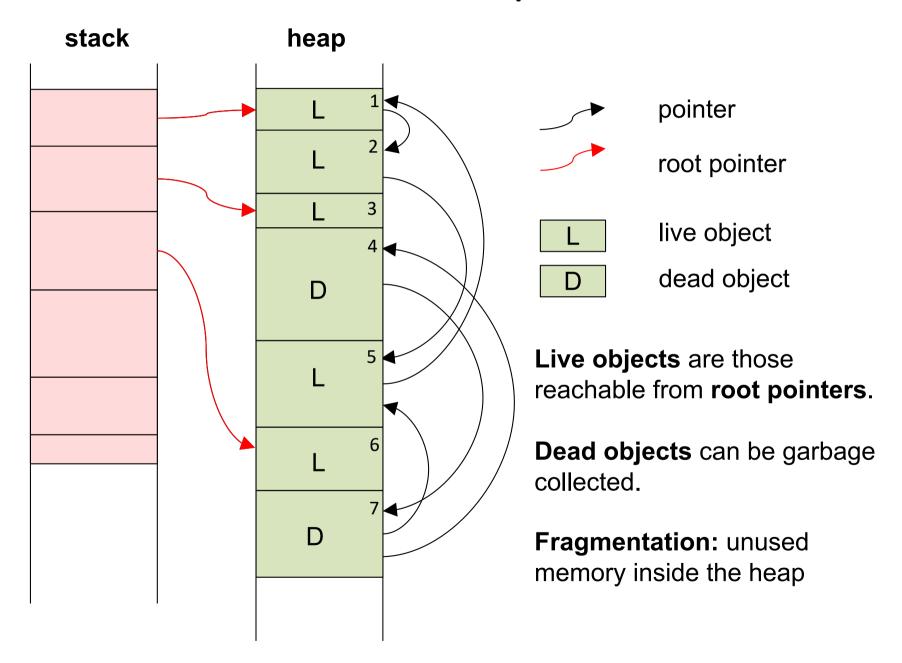
Handling threads



The heap



The heap



Mark-sweep GC: Follow all pointers and mark all live objects.

Sweep heap and collect free objects. Or compact the heap to avoid fragmentation.

Mark-sweep GC: Follow all pointers and mark all live objects.								
Sweep heap and collect free objects. Or compact the heap to avoid fragmentation.								
Copying G	Copying GC: Divide heap into two spaces. Allocate new objects in from-space.							
When full, move all live objects to <i>to-space</i> . Flip <i>from-space</i> and <i>to-space</i> .								
from-space				to-	space			

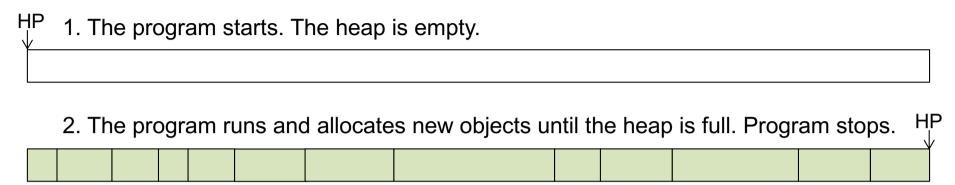
	Mark-sweep GC: Follow all pointers and mark all live objects.												
Sv	Sweep heap and collect free objects. Or compact the heap to avoid fragmentation												
			•										
Co	Copying GC: Divide heap into two spaces. Allocate new objects in from-space.												
Wł	When full, move all live objects to to-space. Flip from-space and to-space.												
fro	m-spa	ace						to-spa	ice				
	Generational GC: Efficient because most objects die young. Move (tenure) surviving objects to older generation.												
Old generation – large – collect seldom typically mark-sweep GC							New ge	neration -		ect often			

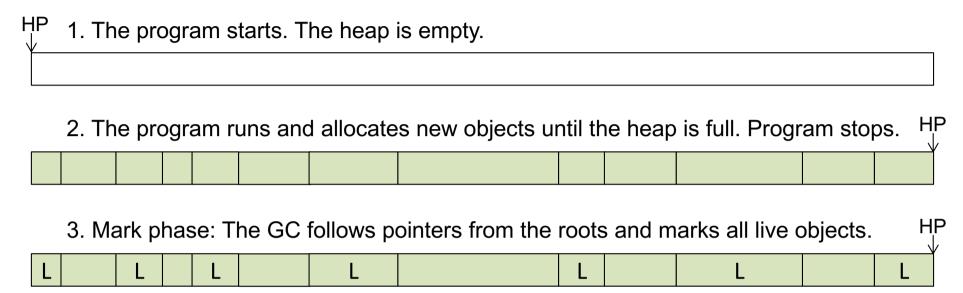
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Со	Copying GC: Divide heap into two spaces. Allocate new objects in from-space.													
Wł	nen f	ull, m	าดง	e al	I live c	bjects to	o to-space	e. Flip	o fro	m-spa	ce and	to-s	pace.	
fro	from-space to-space													
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		Old	d ge	nerat	tion – lai	rge – colle	ct seldom			New ge	neration -	- sma	II – colle	ect often
typically mark-sweep GC							typically copying GC							

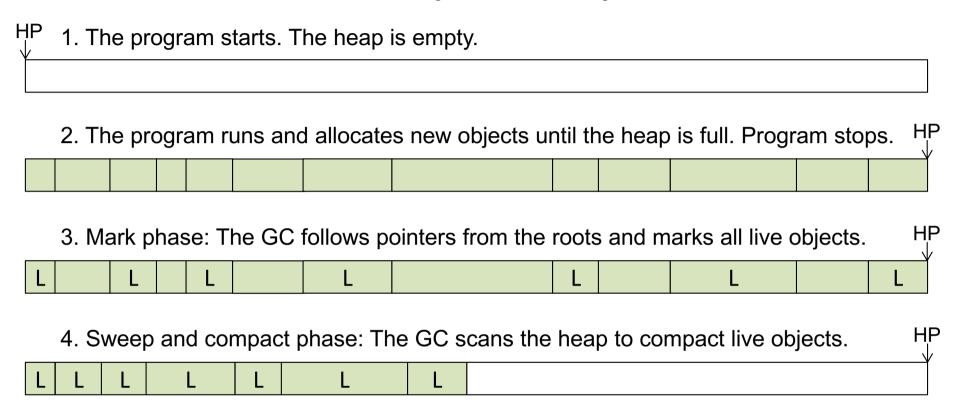
Reference counting: Inefficient (overhead when reading and writing references). Deallocate when count=0. Does not handle cycles. Fragmentation problems.

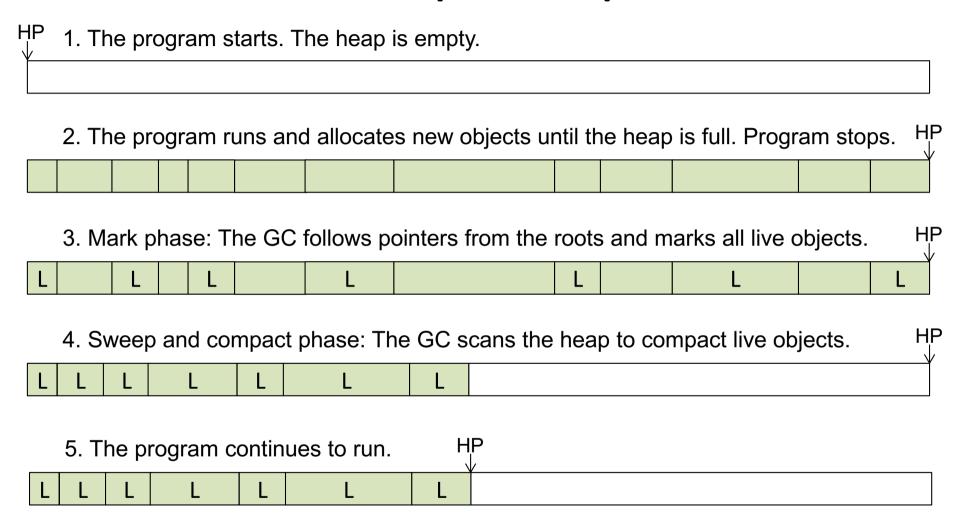
Mark-Sweep GC

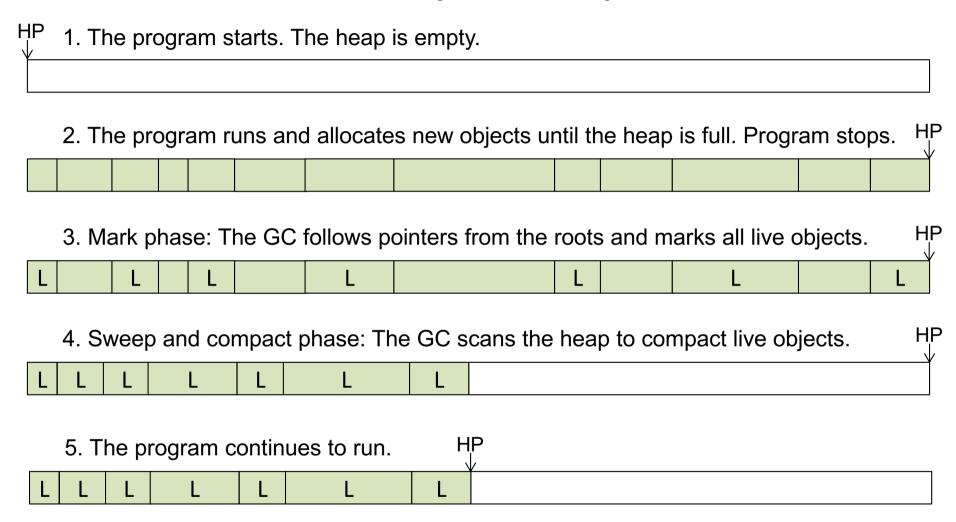
HP 1. The program starts. The heap is empty.











- + Avoids fragmentation.
- Long pause at GC ("stop the world")

1. The heap is split in two spaces. The program starts. Allocates objects in *from-space*.

from-space

to-space

1. The heap is split in two spaces. The program starts. Allocates objects in from-space.

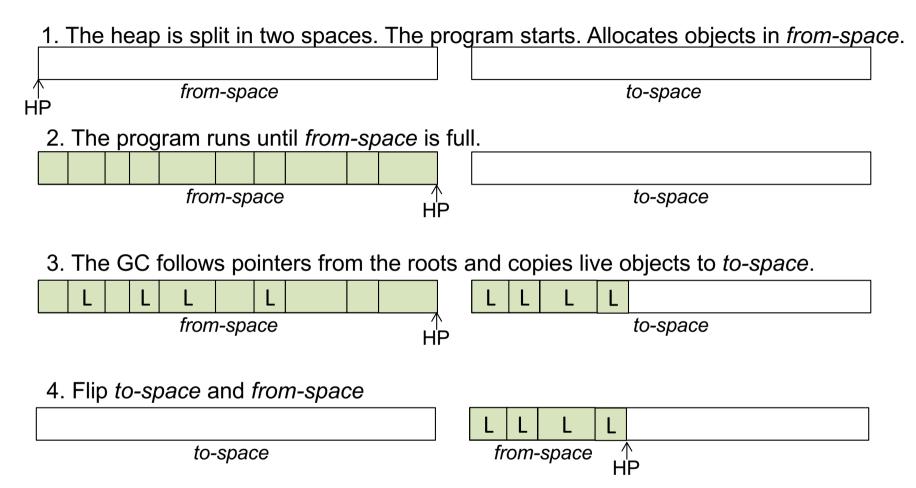
from-space

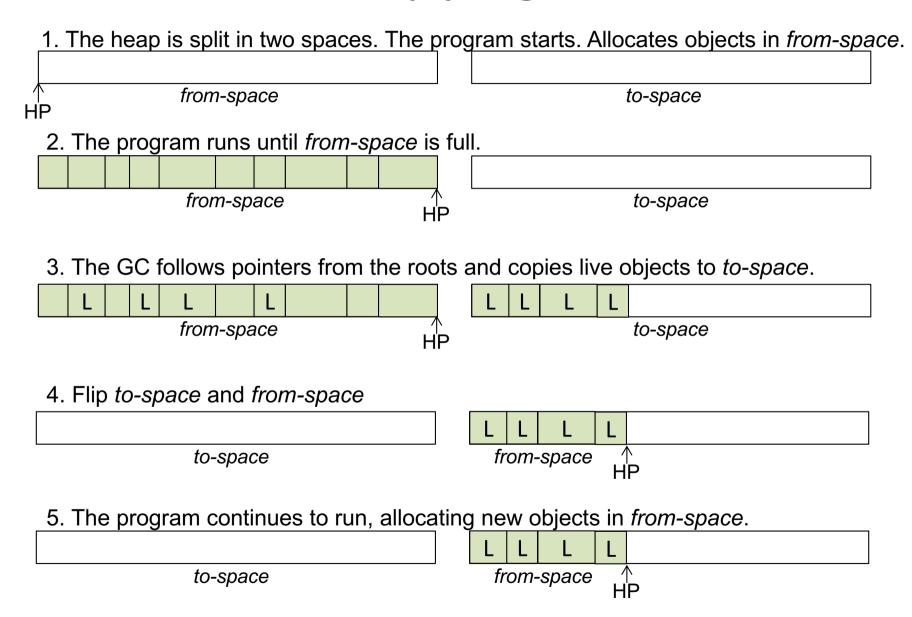
2. The program runs until from-space is full.

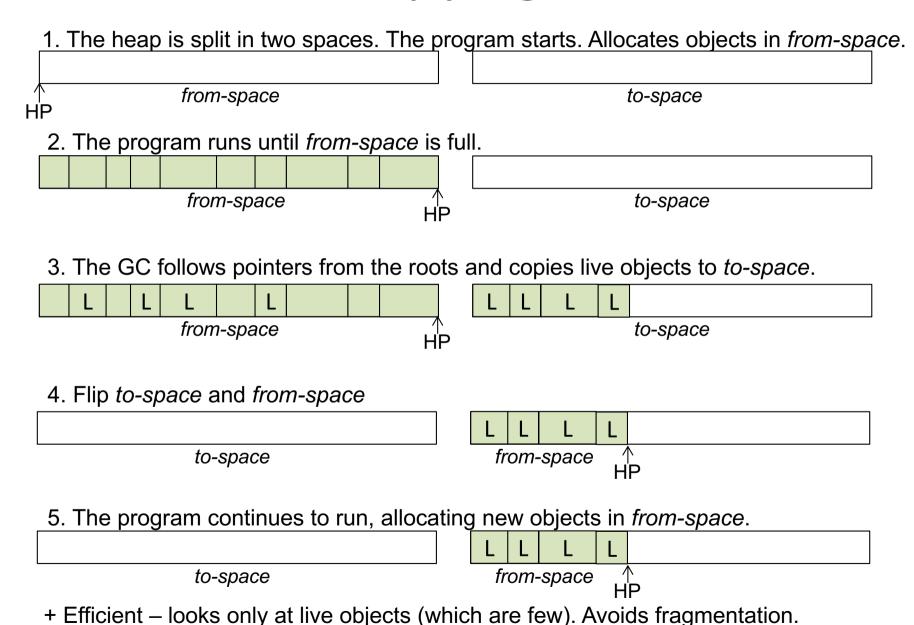
from-space

to-space

to-space







- Fairly long pauses at GC ("stop the world"). Uses a lot of memory.

1. The heap is split into a large old and a small new generation.	
old generation	new generation

1. The heap is split into a large old and a small new generation.	
old generation	new generation
2. Typical algorithms.	from-space to-space
old generation, mark-sweep	new generation,

1. The heap is split into a large old and a small new generation.	
old generation	new generation
2. Typical algorithms.	from-space to-space
old generation, mark-sweep	new generation, copying
3. The program runs, allocating objects in from-space in new gen.	from-space to-space
old generation	new generation

1. ¹	The heap is split into a large old and a small new generation.		
	old generation	new ger	neration
2.	Typical algorithms.	from-space	to-space
	old generation, mark-sweep	new ger copying	neration,
3.	The program runs, allocating objects in from-space in new gen.	from-space	to-space
	old generation	new ger	neration
4		_1	
4.	Objects surviving a few GCs in new gen are tenured – moved to old	from-space	to-space
	old generation	new ger	neration

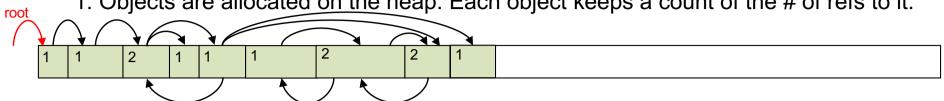
1. The heap is split into a large old and a small new generation.		
old generation	new ger	neration
2. Typical algorithms.	from-space	to-space
old generation, mark-sweep	new ger copying	eration,
3. The program runs, allocating objects in from-space in new gen.	from-space	to-space
old generation	new ger	neration
4. Objects surviving a few GCs in new gen are tenured – moved to old old old old generation	from-space new ger	to-space neration
5. Most objects die very young. Few survive to be tenured.	from-space	to-space
old generation	new ger	ueration

Generational GC

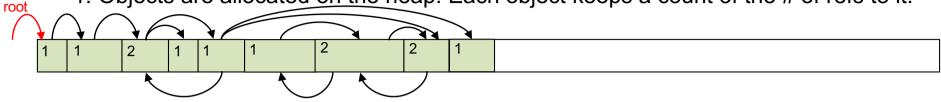
1. The heap is split into a large old and a small new generation.	
old generation	new generation
2. Typical algorithms.	from-space to-space
old generation, mark-sweep	new generation, copying
3. The program runs, allocating objects in from-space in new gen.	from-space to-space
old generation	new generation
4. Objects surviving a few GCs in new gen are tenured – moved to old old generation	from-space to-space new generation
5. Most objects die very young. Few survive to be tenured.	from-space to-space
old generation	new generation

- + GC in new generation is quick (small area). Usually only short pauses.
- + Old generation grows very slowly. Avoids fragmentation.

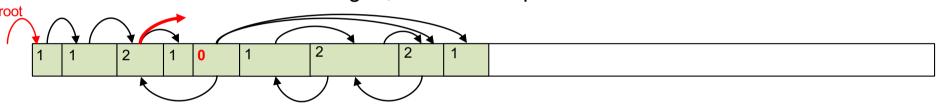
1. Objects are allocated on the heap. Each object keeps a count of the # of refs to it.



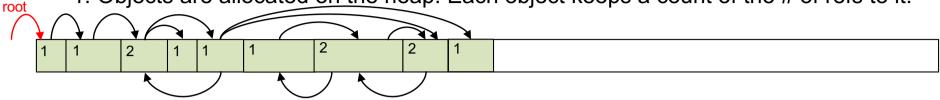
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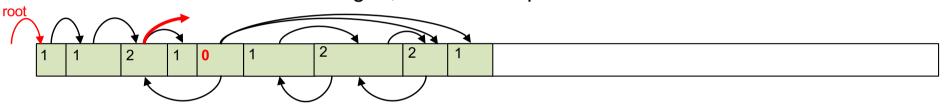
2. When a reference is changed, counts are updated.



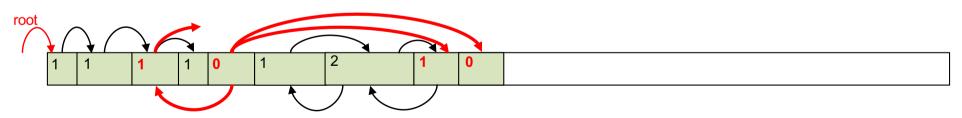
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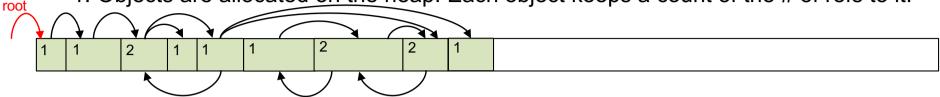
2. When a reference is changed, counts are updated.



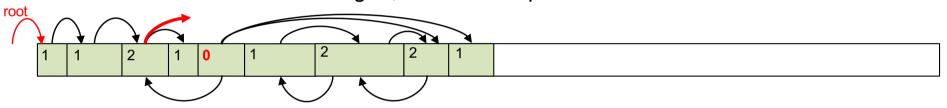
3. When a count goes to zero, the object is deallocated. Its references are followed, and counts are decremented, and may go to zero. The process continues recursively.



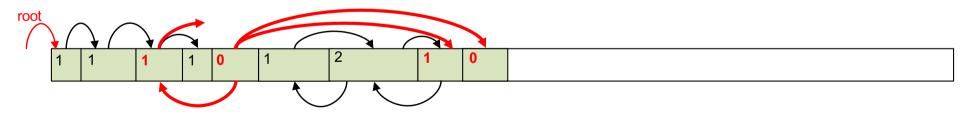
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2. When a reference is changed, counts are updated.



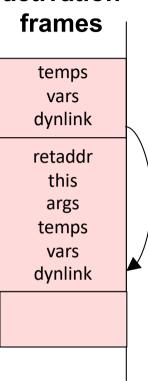
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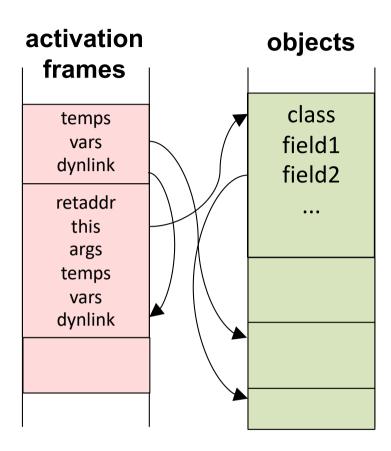


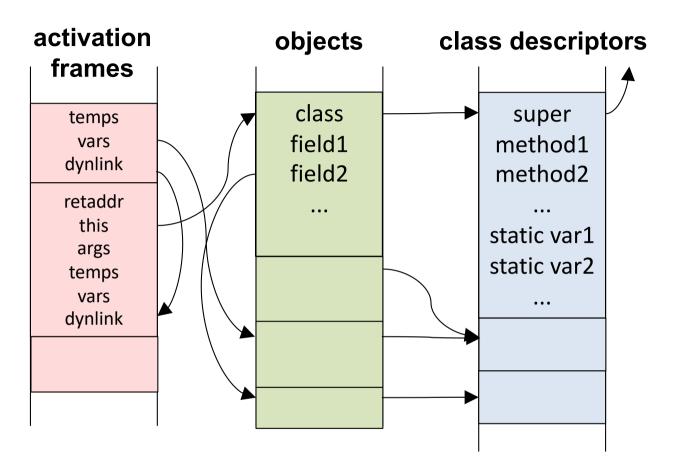
- + GC is incremental (a little work is done at each assignment)
- Very inefficient (because work is done at each assignment)
- Cyclic structures are not garbage collected.
- No compaction the heap becomes fragmented.

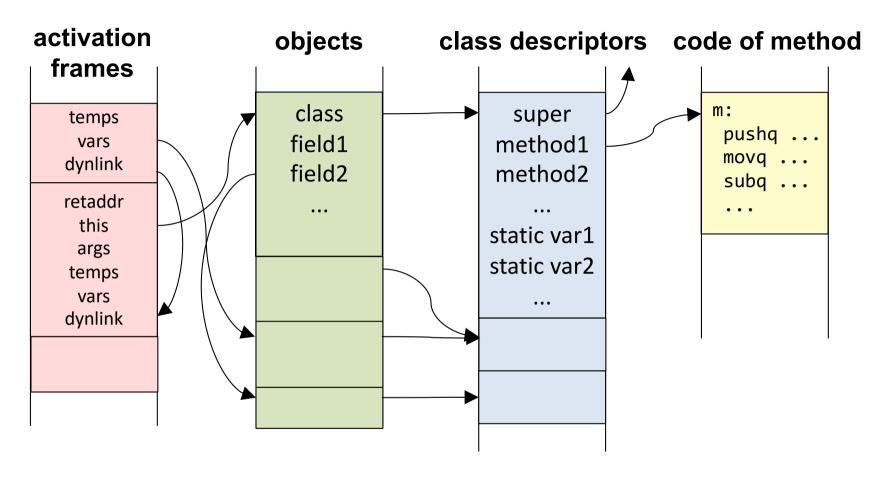
Fields and dynamic dispatch in OO

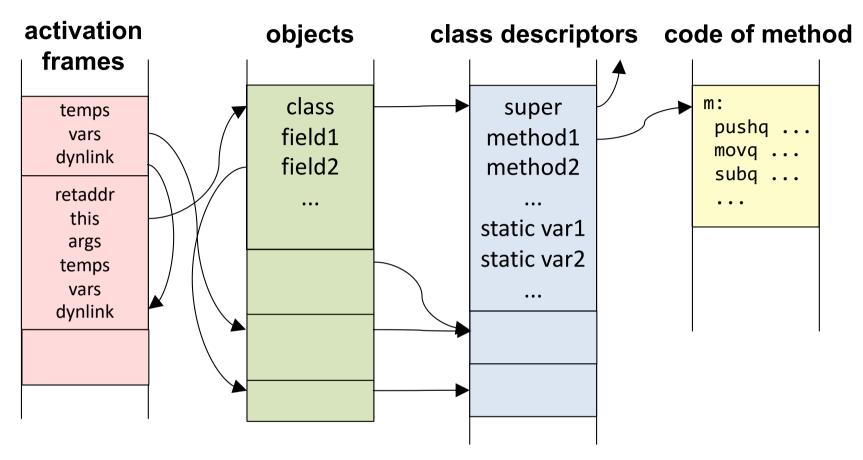
activation











A method activation

The "this" pointer (static link) is viewed as an extra argument.

"This" is used to access fields and methods. Variables, args and temps can point to objects.

An object

Has pointer to the class descriptor (for accessing methods). Can have fields that point to objects.

A class descriptor

Can access super class through the super pointer.

Has pointers to its methods.

Can have static variables.

Fields

Inheritance of fields, prefixing

source code

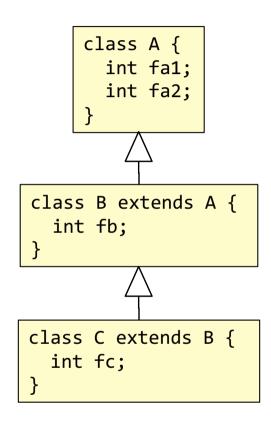
```
class A {
   int fa1;
   int fa2;
}

class B extends A {
   int fb;
}

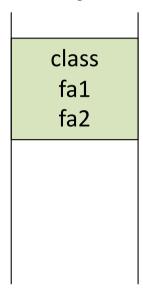
class C extends B {
   int fc;
}
```

Inheritance of fields, prefixing

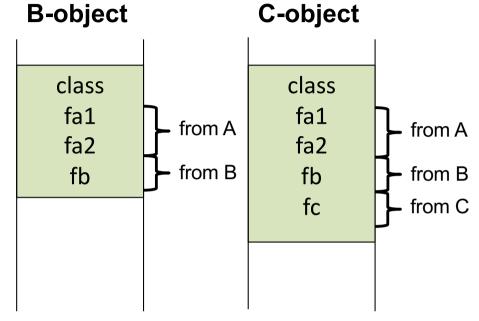
source code



A-object



B-object



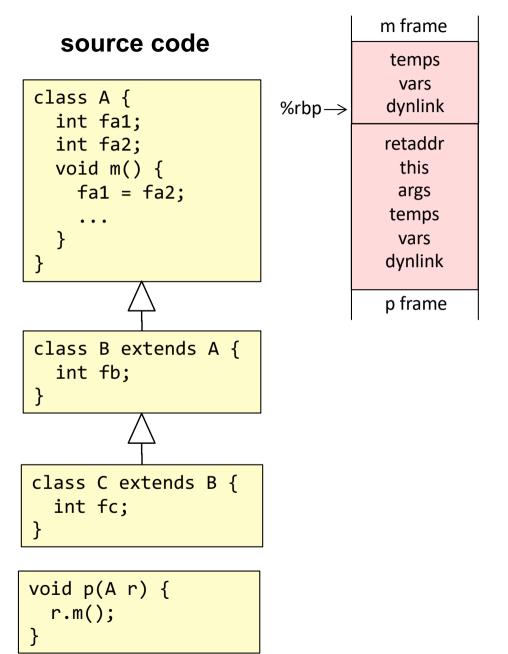
Prefixing

Fields of the superclass are placed in front of local fields ("prefixing"). Each field is thus located at an offset computed at compile time, regardless of the dynamic type of the object.

Field addresses

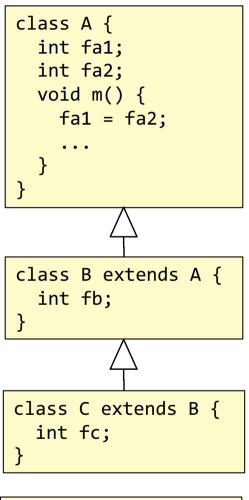
fa1	8(obj)
fa2	16(obj)
fb	24(obj)
fc	32(obj)

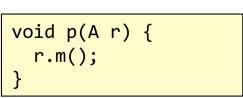
Access to fields (single inheritance)

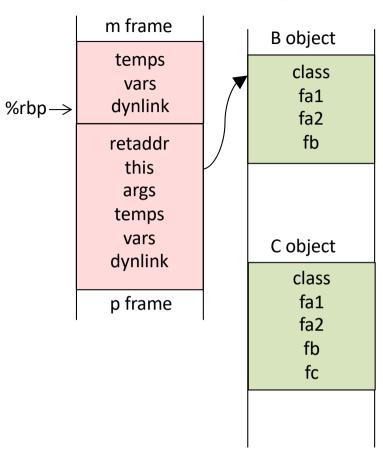


Access to fields (single inheritance)

source code





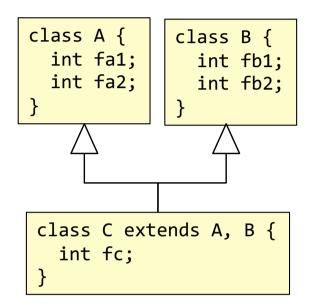


The code for m knows the static type of the object (A), but not the dynamic type (B or C in this case).

Because of prefixing, the code for m can access fa1 and fa2 through an efficient indirect access, using a fixed offset, without knowing the dynamic type of the object.

Access to fields (multiple inheritance, C++)

source code



```
m frame

rA

rB

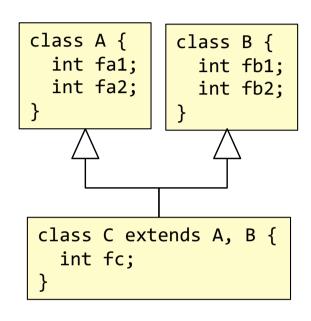
rC

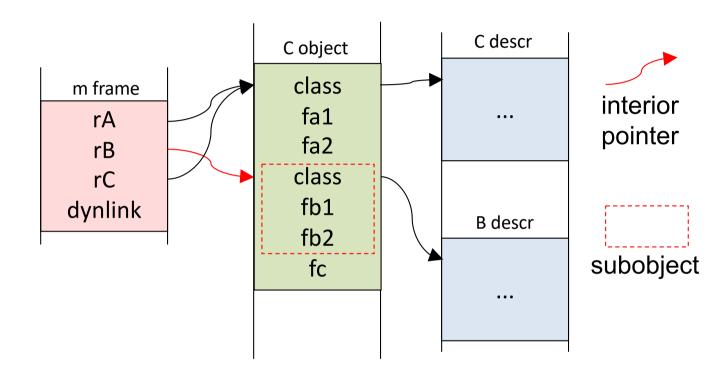
dynlink
```

```
void m() {
   C rC = new C();
   B rB = rC;
   A rA = rC;
}
```

Access to fields (multiple inheritance, C++)

source code

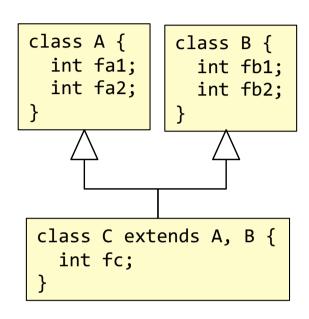


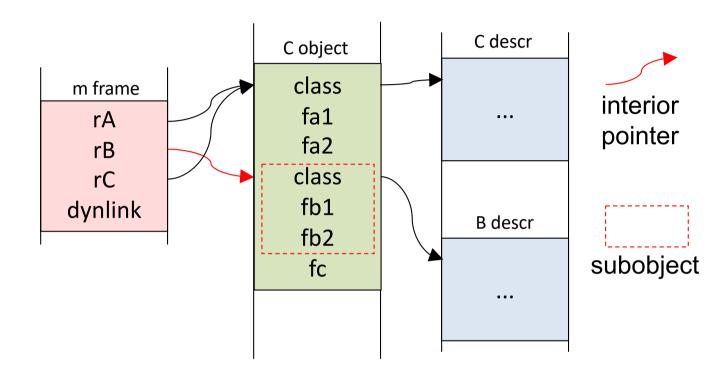


```
void m() {
   C rC = new C();
   B rB = rC;
   A rA = rC;
}
```

Access to fields (multiple inheritance, C++)

source code





```
void m() {
   C rC = new C();
   B rB = rC;
   A rA = rC;
}
```

Interior pointers and subobjects

Parts of the class hiearchy are treated like single inheritance: rA and rC point to the full C object.

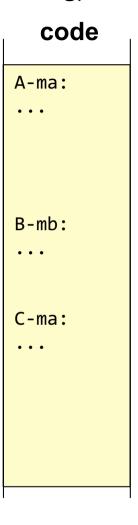
For remaining parts, allocate subobjects inside the main object. rB points to the *interior* of the C object, to the B subobject.

Gives problems for garbage collector: The GC needs to identify full objects. Solvable, but expensive.

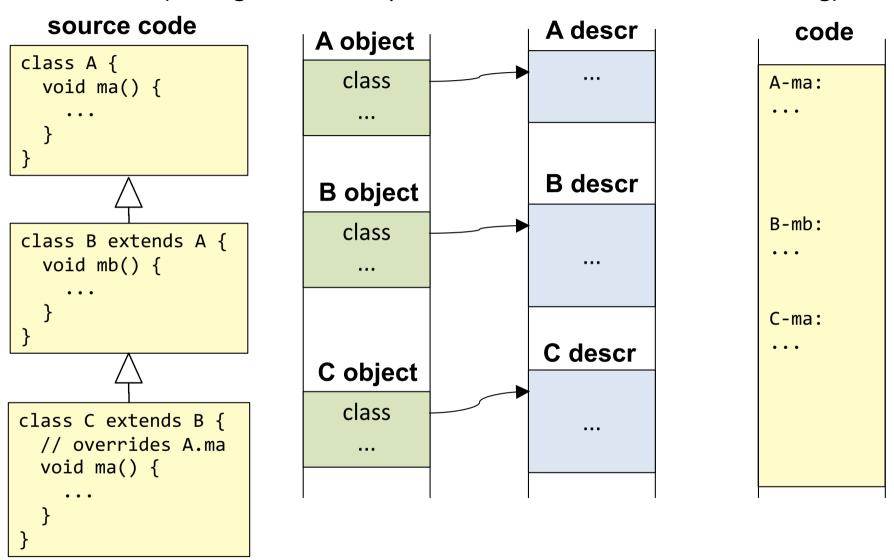
(Calling methods in presence of inheritance and overriding)

source code

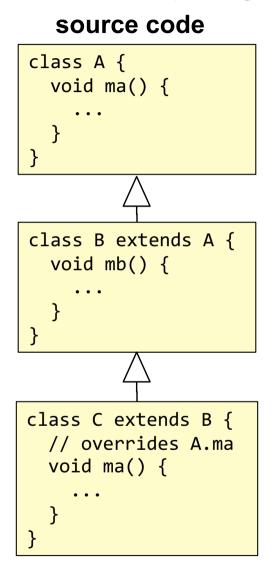
```
class A {
  void ma() {
class B extends A {
  void mb() {
class C extends B {
  // overrides A.ma
  void ma() {
```

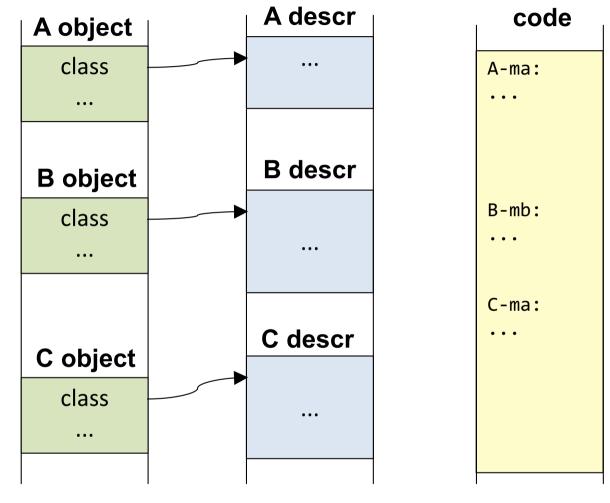


(Calling methods in presence of inheritance and overriding)



(Calling methods in presence of inheritance and overriding)



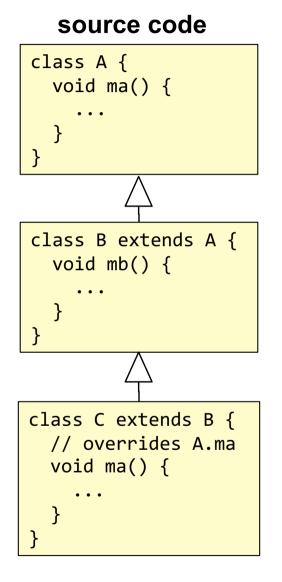


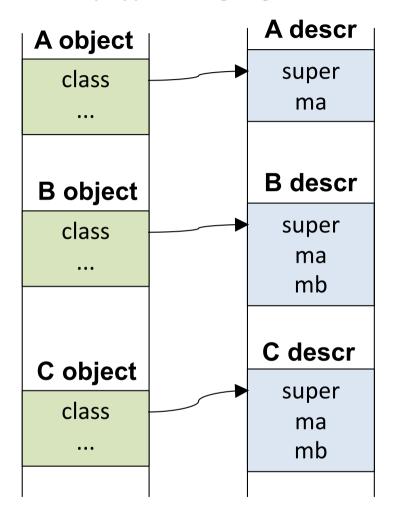
Two common implementation methods:

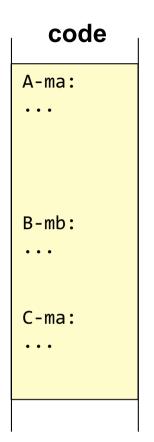
- Virtual tables. Uses static typing. Simula, C++, ...
- Hash tables. For dynamic typing. Smalltalk, Python, JavaScript, Objective-C, ...

Virtual table dynamic dispatch

For statically typed languages: Simula, C++, ...

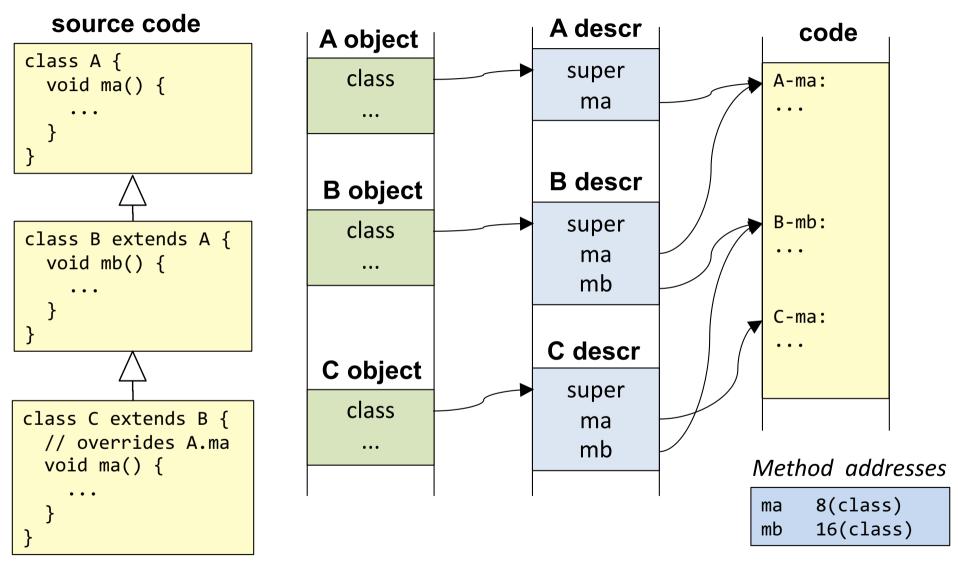






Virtual table dynamic dispatch

For statically typed languages: Simula, C++, ...



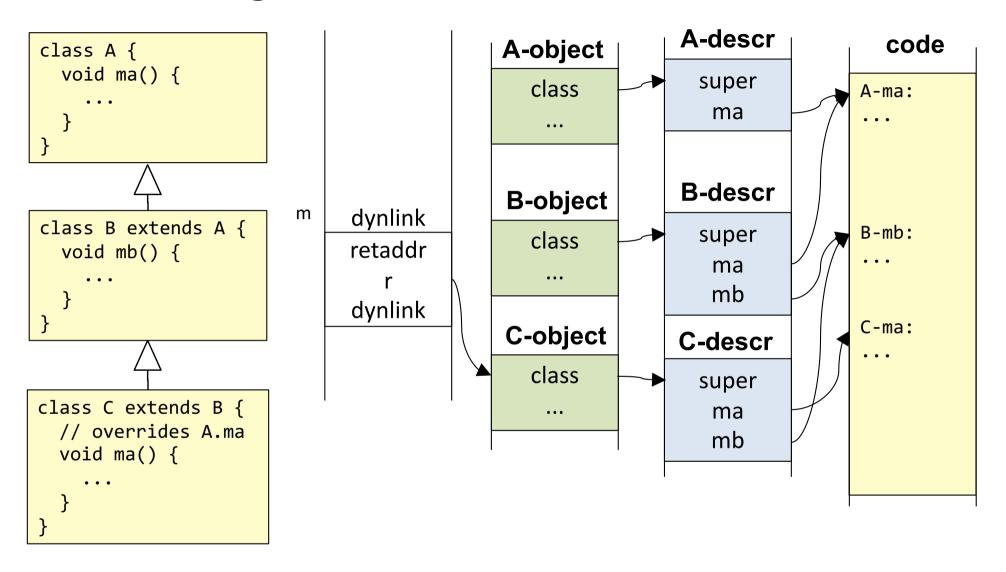
Virtual tables

Class descriptor contains virtual table (often called "vtable").

Pointers to superclass methods are placed in front of locally declared methods ("prefixing").

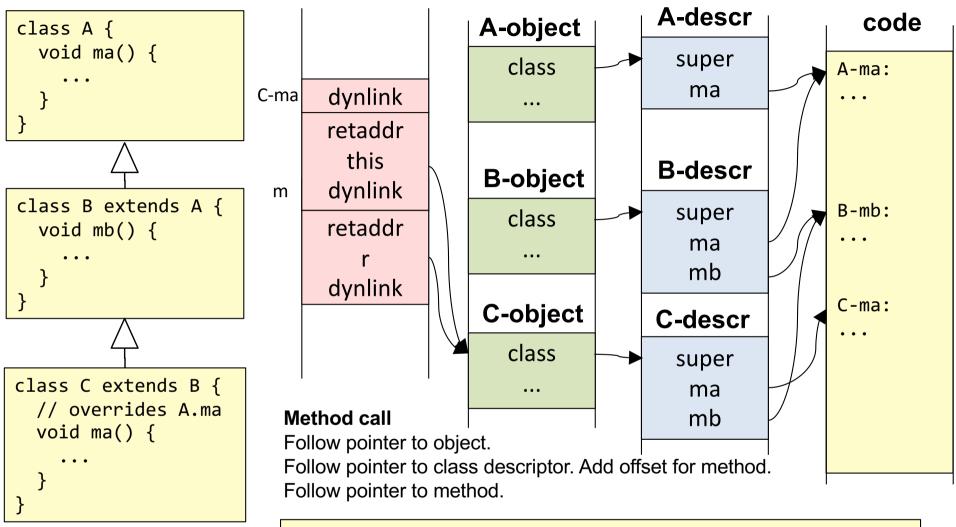
Each method pointer is located at an offset computed at compile time, using the static type.

Calling a method via the virtual table



```
void m(A r) {
   r.ma();
}
```

Calling a method via the virtual table



```
void m(A r) {
   r.ma();
}
```

```
m:
    ...
    movq 16(%rbp), %rax  # r -> rax
    pushq %rax  # push the static link (this)
    movq (%rax), %rax  # class descriptor -> rax
    callq 8(%rax)  # call ma
```

For dynamically typed languages: Smalltalk, Python, JavaScript, Objective-C, ...

```
methods and vars have no static types

class A {
    method s() {...}
    method t() {...}
}

class B extends A {
    method t() {...}
}
```

```
code
A-s:
. . .
A-t:
B-t:
C-u:
C-s:
```

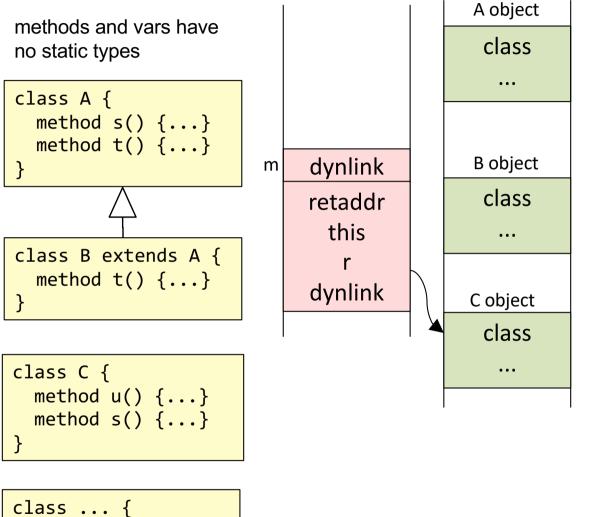
```
class ... {
  method m(r) {
    r.s();
  }
}
```

method u() {...}

method s() {...}

class C {

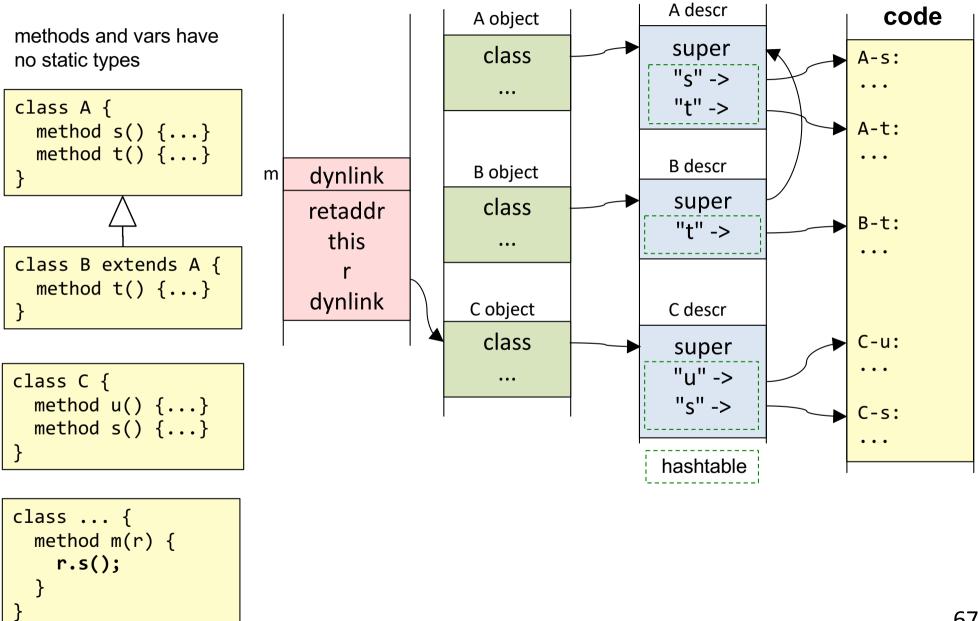
For dynamically typed languages: Smalltalk, Python, JavaScript, Objective-C, ...



```
code
A-s:
. . .
A-t:
B-t:
C-u:
C-s:
```

```
class ... {
  method m(r) {
    r.s();
  }
}
```

For dynamically typed languages: Smalltalk, Python, JavaScript, Objective-C, ...



For dynamically typed languages: Smalltalk, Python, JavaScript, Objective-C, ...

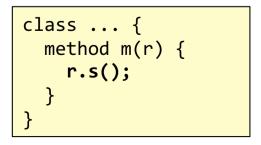
methods and vars have no static types

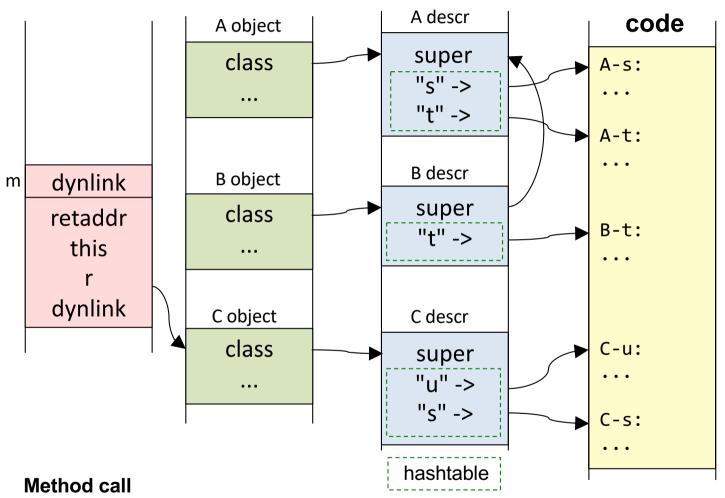
class A {

```
method s() {...}
method t() {...}
}

class B extends A {
method t() {...}
}
```

```
class C {
  method u() {...}
  method s() {...}
}
```





Follow pointer to object. Then to class descriptor.

Lookup method pointer in hashtable. If not found, go to super, lookup there...

Does not rely on static types.

Can be used for dynamically typed languages.

Slow if not optimized.

Comparison, dynamic dispatch

Virtual tables

Can implement multiple inheritance by adapting prefixing, similarly to field access. Cannot be used for dynamically typed languages.

Fast calls – only an indirect jump.

Hash tables

No problem with multiple inheritance.

Can be used for dynamically typed languages.

Slow calls – need to do hash table lookup.

Both can be optimized...

Optimization of procedural languages (C)

Optimization of procedural languages (C)

Local optimizations (within methods):

- common subexpression elimination
- constant propagation
- constant folding
- dead code elimination
- loop invariant code motion
- ...

Inlining (replace call by method body, get more code to optimize over)

Example local optimizations

```
a = b * c + d;
e = f + b * c;
```

```
a = b * c + d;
e = f + b * c;
```

common subexpression elimination

```
t = b * c;
a = t + d;
e = f + t;
```

```
a = b * c + d;
e = f + b * c;
```

common subexpression elimination

```
t = b * c;
a = t + d;
e = f + t;
```

```
int a = 37;
return a + 5;
```

```
a = b * c + d;
e = f + b * c;
```

common subexpression elimination

```
t = b * c;
a = t + d;
e = f + t;
```

```
int a = 37;
return a + 5;
```

constant propagation

```
int a = 37;
return 37 + 5;
```

```
int a = 37;
return 37 + 5;
```

```
a = b * c + d;
e = f + b * c;
```

common subexpression elimination

```
t = b * c;
a = t + d;
e = f + t;
```

```
int a = 37;
return a + 5;
```

constant propagation

constant folding

```
int a = 37;
return 42;
```

```
a = b * c + d;
e = f + b * c;
```

common subexpression elimination

```
t = b * c;
a = t + d;
e = f + t;
```

```
int a = 37;
return a + 5;
```

constant propagation

```
int a = 37;
return 37 + 5;
```

```
int a = 37;
return 37 + 5;
```

constant folding

```
int a = 37;
return 42;
```

```
int a = 37;
return 42;
```

dead code elimination

return 42;

```
for (int i ...) {
  a = b + 3;
  x[i] = a * i;
}
```

```
a = b * c + d;
e = f + b * c;
```

common subexpression elimination

```
t = b * c;
a = t + d;
e = f + t;
```

```
int a = 37;
return a + 5;
```

constant propagation

```
int a = 37;
return 37 + 5;
```

constant folding

```
int a = 37;
return 42;
```

dead code elimination

return 42;

```
for (int i ...) {
  a = b + 3;
  x[i] = a * i;
}
```

loop invariant code motion

```
a = b + 3;
for (int i ...) {
  x[i] = a * i;
}
```

Inlining

```
void f(int b) {
    ...
    for (int i ...) {
        a = g(b);
        x[i] = a * i;
    }
}
int g(int x) {
    return x + 3;
}
```

Inlining

```
void f(int b) {
    ...
    for (int i ...) {
        a = g(b);
        x[i] = a * i;
    }
}
int g(int x) {
    return x + 3;
}
```



```
void f(int b) {
    ...
    for (int i ...) {
        a = b + 3;
        x[i] = a * i;
    }
}
int g(int x) {
    return x + 3;
}
```

After inlining, there could be more opportunities for local optimizations.

Optimization of OO languages

Optimization of OO languages

Difficult to optimize OO with conventional techniques

- Many small methods not much to optimize in each
- Virtual methods difficult to inline actual method not known until runtime

If methods could be inlined...

- ... we could save the expensive calls
- ... we would get larger code chunks to optimize over

Approaches to optimization of OO code

Approaches to optimization of OO code

Static compilation approaches

Analysis of complete programs: "whole world analysis" Find methods to be inlined. Then optimize further. Drawback: does not support dynamic loading. Available as an option in Java 9.

Dynamic compilation approaches

Inline methods at runtime (self-modifying code)
Dynamic compilation and optimization (at runtime)
Use simple conventional optimization techniques
(must be fast enough at runtime)
Very successful in practice (Java, CLR, Javascript, ...)
Can beat optimized C for some benchmarks.

Other mechanisms valuable to optimize in OO

Dynamic type tests (casts, instanceOf)

Synchronization and thread switches

Garbage collection

Interpretation vs Compilation in Java

Interpreting JVM

portable but slow

JIT – Just-In-Time compilation

compile each method to machine code the first time it is executed requires very fast compilation – no time to optimize

AOT – Ahead-of-time compilation

Generate machine code for a complete program, before execution. This is "normal" compilation, the way it is done in C, C++, ...

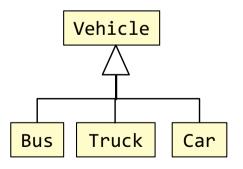
Problem to use this approach for Java: does not support dynamic loading. Available as an option for Java 9.

Adaptive optimizing compiler

Run interpreter initially to get profiling data
Find "hot spots" which are translated to machine code, and then optimized
May outperform AOT compilers in some cases!
The approach used today in the SUN/Oracle JVM, called "HotSpot".

Original calling code

```
Vehicle v = ...;
while (...) {
   v = aList.get();
   v.m();
}
```



Original calling code Vehicle v = ...; while (...) { v = aList.get(); v.m(); } Vehicle Vehicle v = ...; while (...) { v = aList.get(); Bus-m-prologue(v); } Vehicle Truck Car

Original calling code

```
Vehicle v = ...;
while (...) {
   v = aList.get();
   v.m();
}
```

Vehicle

Truck

Car

Bus



Optimized calling code

```
Vehicle v = ...;
while (...) {
  v = aList.get();
  Bus-m-prologue(v);
}
```

Called method:

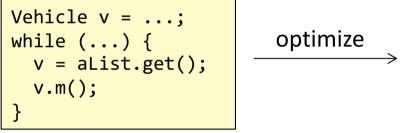
```
Bus-m-prologue:
   if (receiver is not a Bus)
     receiver.m(); // Ordinary slow lookup
Bus-m:
   normal method body
...
```

Based on hash table lookup

Do a normal (slow) lookup. The result is a method implementation, say Bus-m. Guess that the next call will be for an object of the same type (Bus), i.e., to Bus-m. Replace the call with a direct call to Bus-m-prologue, with the receiver as argument. The prologue checks if the receiver is of the guessed type (Bus). If so, continue executing Bus-m. If not, do a normal (slow) lookup.

Original calling code

Vehicle



Optimized calling code

```
Vehicle v = ...;
while (...) {
  v = aList.get();
  Bus-m-prologue(v);
}
```

Called method:

```
Bus-m-prologue:
    if (receiver is not a Bus)
        receiver.m(); // Ordinary slow lookup

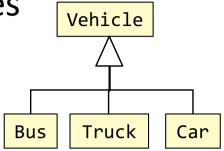
Bus-m:
    normal method body
    ...
```

Polymorphic inline caches (PICs)

a generalization of inline call caches

Handle several possible object types

Inline the prologues into the calling code. Check for several types.



Inlined call cache

```
Vehicle v = ...;
while (...) {
  v = aList.get();
  Bus-m-prologue(v);
}
```

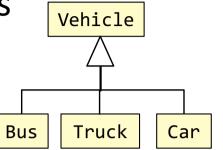
```
Bus-m-prologue:
   if (!receiver is a Bus)
     receiver.m(); // normal lookup
Bus-m:
   normal method body
   ...
```

Polymorphic inline caches (PICs)

a generalization of inline call caches

Handle several possible object types

Inline the prologues into the calling code. Check for several types.



Inlined call cache

```
Vehicle v = ...;
while (...) {
  v = aList.get();
  Bus-m-prologue(v);
}
```

optimize

Methods:

```
Bus-m-prologue:
   if (!receiver is a Bus)
     receiver.m(); // normal lookup
Bus-m:
   normal method body
   ...
```

Polymorphic inlined cache

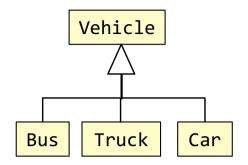
```
Vehicle v = ...;
while (...) {
    v = aList.get();
    if (v is a Bus)
        Bus-m(v)
    else if (v is a Car)
        Car-m(v)
    else
        v.m(); // normal lookup
}
```

```
Bus-m:
...
Car-m:
```

Inlining method bodies Can be done after inlining calls

Inlining method bodies

Copy the called methods into the calling code



Polymorphic inlined cache

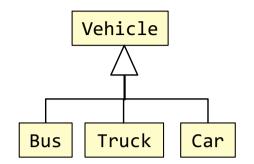
```
Vehicle v = ...;
while (...) {
    v = aList.get();
    if (v is a Bus)
        Bus-m(v)
    else if (v is a Car)
        Car-m(v)
    else
        v.m(); // normal lookup
}
```

```
Bus-m:
...
Car-m:
```

Inlining method bodies Can be done after inlining calls

Inlining method bodies

Copy the called methods into the calling code



Polymorphic inlined cache

```
Vehicle v = ...;
while (...) {
    v = aList.get();
    if (v is a Bus)
        Bus-m(v)
    else if (v is a Car)
        Car-m(v)
    else
        v.m(); // normal lookup
}
```

optimize

with inlined methods

```
Vehicle v = ...;
while (...) {
  v = aList.get();
  if (v is a Bus)
    ... // code for Bus-m
  else if (v is a Car)
    ... // code for Car-m
  else
    v.m(); // normal lookup
}
```

Methods:

```
Bus-m:
...
Car-m:
```

```
Bus-m:
Car-m:
```

Further optimization

Now there is a large code chunk at the calling site

Ordinary local optimizations can now be done

- common subexpression elimination
- loop invariant code motion

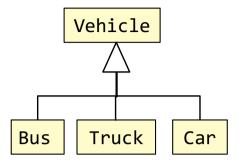
Polymorphic inlined cache

```
Vehicle v = \dots;
while (...) {
 v = aList.get();
  if (v is a Bus)
    Bus-m(v)
  else if (v is a Car)
   Car-m(v)
  else
    v.m(); // normal lookup
```

Methods:

```
Bus-m:
  . . .
Car-m:
```

optimize



with inlined methods

```
Vehicle v = \dots;
while (...) {
 v = aList.get();
  if (v is a Bus)
    ... // code for Bus-m
  else if (v is a Car)
    ... // code for Car-m
  else
    v.m(); // normal lookup
```

```
Bus-m:
Car-m:
```

Dynamic adaptive compilation

Keep track of execution profile

Add PICs dynamically

Order cases according to frequency
Inline the called methods if sufficiently frequent
Optimize the code if sufficiently frequent

Adapt the optimizations depending on current profile

Dynamic adaptive compilation

Techniques originated in the Smalltalk and Self compiler

Adapted to Java in SUN/Oracle's HotSpot JVM

Techniques originally developed for dynamically typed languages useful also for statically typed languages! Dynamic adaptive optimizations may outperform optimizations possible in a static compiler!

Client vs Server VM

Local optimizations vs heavy inlining and other memory intensive optimizations.

Warm-up vs. Steady state

Slower when the program starts (warm-up). Fast after a while (steady-state).

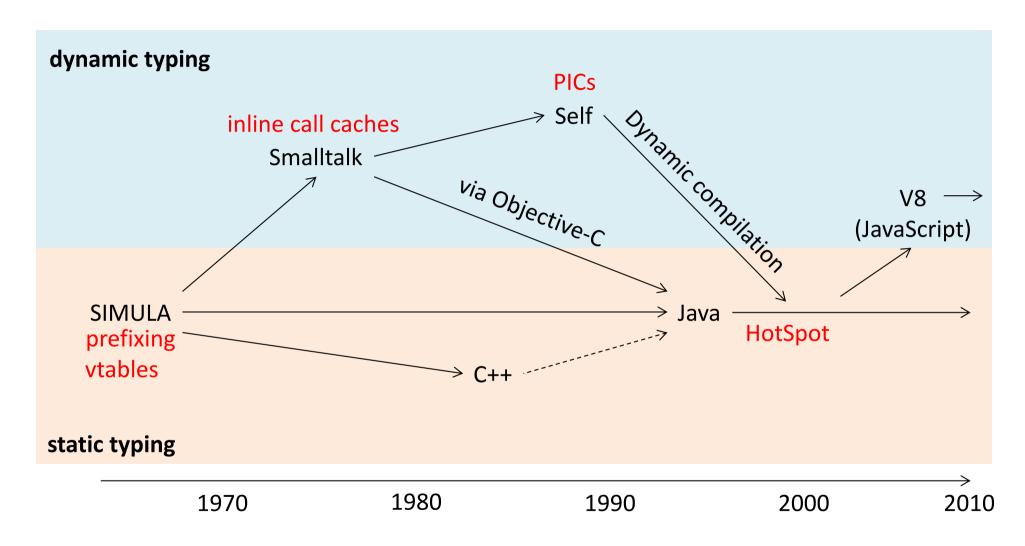
A huge success:

Fast execution in spite of fast compilation and dynamic loading.

Now used in other major languages like C# (CLR platform), Javascript, etc.

Many languages compile to Java Bytecode to take advantage of the HotSpot JVM.

Major advances in OO implementation



Summary questions

- What is the difference between dynamic and static typing?
- Is Java statically typed?
- What is a heap pointer?
- How are inherited fields represented in an object?
- What is prefixing?
- How can dynamic dispatch be implemented?
- What is a virtual table?
- Why is it not straightforward to optimize object-oriented languages?
- What is an inline call cache?
- What is a polymorphic inline cache (PIC)?
- How can code be further optimized when call caches are used?
- What is meant by dynamic adaptive compilation?