## **Tutorial 3**

## **Dynamic programming**

**Problem 15.3** (405): Give an  $O(n^2)$ -time algorithm for finding an optimal bitonic traveling-salesman tour. Scan left to right, maintaining optimal possibilities for the two parts of the tour.

**Solution:** Sort the points by x-coordinate, left to right, in  $O(n \log n)$  time. Let the sorted points be  $p_1, p_2, \ldots, p_n$ .

Subproblems: bitonic paths  $P_{ij}$ , where  $i \leq j$ , that includes points  $p_1, \ldots, p_j$ . It starts at  $p_i$ , goes strictly left to  $p_1$ , and then goes strictly right to  $p_j$ . Going strictly left means that each point in the path has a lower x-coordinate than the previous point (the indices of the sorted points form a strictly decreasing sequence). Likewise, going strictly right means that the indices of the sorted points form a strictly increasing sequence. Note that  $p_j$  is the rightmost point in  $P_{ij}$  and is on the rightgoing subpath. The leftgoing subpath may be degenerate, consisting of just  $p_1$ .

Let  $|p_ip_j|$  be the euclidean distance between  $p_i$  and  $p_j$ , and b[i, j], for  $1 \le i \le j \le n$ , be the length of the shortest bitonic path  $P_{ij}$ . Since the leftgoing subpath may be degenerate, we can easily compute all values b[1, j]. The only value of b[i, i] that we will need is b[n, n], which is the length of the shortest bitonic tour. Hence:

$$b[1,2] = |p_1p_2|$$
  

$$b[i,j] = b[i,j-1] + |p_{j-1}p_j|, \text{ for } i < j-1$$
  

$$b[j-1,j] = \min_{1 \le k \le j-1} \{b[k,j-1] + |p_kp_j|\}$$

Any bitonic path ending at  $p_2$  has  $p_2$  as its rightmost point, so it consists only of  $p_1$  and  $p_2$ . Its length is therefore  $|p_1p_2|$ .

Consider a shortest bitonic path  $P_{ij}$ . If  $p_{j-1}$  is on its rightgoing subpath, then it immediately preceeds  $p_j$ . The subpath from  $p_1$  to  $p_{j-1}$  must be a shortest subpath  $P_{i,j-1}$ , since we otherwise could replace it to get a shorter bitonic path than  $P_{ij}$ . The length of  $P_{ij}$  is therefore given by  $b[i, j-1] + |p_{j-1}p_j|$ .

If  $p_{j-1}$  is on the leftgoing subpath, then it must be its rightmost point, so i = j - 1. Then  $p_j$  has an immediate predecessor  $p_k$ , for k < j - 1, on the rightgoing subpath. Optimal substructure again applies: the subpath from  $p_k$  to  $p_{j-1}$  must be a shortest bitonic path  $P_{k,j-1}$ . The length of  $P_{ij}$  is therefore given by  $\min_{1 \le k < j-1} \{b[k, j-1] + |p_k p_j|\}$ .

In an optimal bitonic tour, one of the points adjacent to  $p_n$  must be  $p_{n-1}$ , so  $b[n,n] = b[n-1,n] + |p_{n-1}p_n|$ . To reconstruct the points on the shortest bitonic tour, we define r[i, j] to be the index of the immediate predecessor of  $p_j$  on the shortest bitonic path  $P_{ij}$ . Because the immediate predecessor of  $p_2$  on  $P_{1,2}$  is  $p_1$ , we know that r[1, 2] must be 1.

Since we iterate over i and j the time is  $O(n^2)$ , which dominates the initial sorting time.

**Problem 15-6** (408): In a company with a hierarchical structure each employee has a conviviality rating. Give an efficient algorithm to make up the guest list to a company party, maximizing the sum of the conviviality ratings without inviting both an employee and the immediate supervisor.

**Solution:** Each employee has a conviviality rating r. Use dynamic programming to compute the largest sum R of ratings such that persons on adjacent levels in the hierarchy are not invited. Start the computation at the leaves. Each employee is a node, v, and a root in a subtree T(v). Its children c[v] are the

nodes directly below, and its grandchildren gc[v] are those one level further down. If v is a leaf then R(v) = r[v]. Otherwise the maximum rating R(v) of T(v) is given by

$$R(v) = \max \left\{ (r[v] + \sum_{u \in gc[v]} R(u)), \ \sum_{u \in c[v]} R(u) \right\}$$

Either v is included in the guest list for T(v) (first term) or not. If there are no grandchildren, let the corresponding terms have rating 0. The value at the root gives the answer. By updating lists of pointers to the children or grandchildren that gave R(v), we can at the end identify the party guests by traversing these lists from the root. The rating of a node is looked up twice, as child and grandchild, when computing the *R*-value of another node. And an *R*-value is never changed. Hence the total time is O(n), where n is the number of employee.

**Problem 25.2-8** (700): Give an O(VE) algorithm to compute the transitive closure of a directed graph.

**Solution:** Run an O(E)-time graph search (like DFS) from each vertex. This determines all the vertices  $v_j$  that can be reached from each origin  $v_i$  (i.e. sets the bit  $t_{ij}$  to 1). Since there are |V| vertices to start from we get O(VE) time.

*Note:* The transitive closure can be computed in O(M(n)) time, where M(n) is the time to multiply two  $n \times n$  matrices. I will present the algorithm if anyone is interested.

## **Amortized analysis**

**Problem 17.1-3** (456): Perform n operations on a data structure, where the *i*th operation costs *i* if *i* is a power of 2, and 1 otherwise. Use aggregate analysis to determine the amortized cost per operation.

Solution: The cost of the *i*the operation

$$c_i = \begin{cases} i & \text{if } i = 2^j, \ j \ge 1\\ 1 & \text{otherwise} \end{cases}$$

Amortized cost over n operations:

$$\sum_{i=1}^{n} c_i \le n + \sum_{j=1}^{\log n} 2^j = n + 2(n-1) = 3n - 2 < 3$$

Problem 17.2-2 (459): Redo previous problem using the accounting method.

**Solution:** Charge each operation three coins. If *i* is not a power of 2, use one coin to pay for the operation, and save two. When  $i = 2^j$ , the  $2(2^j - 2^{j-1}) = 2(2^{j-1}) = 2^j$  saved coins pay for the operation.

Problem 17.3-2 (462): Redo previous problem using the potential method.

**Solution:** Let the potential after operation i > 1 be  $\Phi_i = 2(i - 2^{\lfloor \log i \rfloor})$  and  $\Phi_1 = 1$ .  $i = 2^j > 1$ :  $\hat{c}_i = c_i + \Phi_i - \Phi_{i-1} = i + 2(i-i) - 2((i-1) - i/2) = 2$ .  $2^j < i = 2^j + k < 2^{j+1}$ :  $\hat{c}_i = 1 + 2((2^j + k) - 2^j) - 2((2^j + k - 1) - 2^j) = 3$ . The summarised equation and is  $\leq 2$ .

The amortized operation cost is  $\leq 3$ .

## **Discussion of assignment 3**

- 1. Start by sorting. Assume  $n \leq m$ .
- 2. Insertion and removal can have different amortized cost. Determine the lowest (constant) cost for both.