

Vulkan, Animations, and the Ray-Tracing Pipeline

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Hello everyone and welcome to this presentation. I believe Mike have already given you a brief introduction, but allow me to present myself...



2022-12-05

Who am I?

• Industrial PhD Student at the Lund University Graphics Group

• Employed by Arm Sweden

(In the GPU Compiler team)



└─Who am I?

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Industrial PhD Student at the Lund University Graphics Group
 Employed by Arm Sweden

Who am I?

My name is Gustaf Waldemarson, an industrial PhD employed by Arm. As such, my work is split between research here at Lund University and working for the Arm GPU compiler team up at Ideon.

As far as work goes, my research is primarily focused on various ray-tracing topics. Thus, today we figured we would give you all a very brief introduction into Vulkan and the newfangled ray tracing pipeline that you may have heard that many games have started to use.

That said, this presentation will mostly be an overview, so if you have any questions feel free to ask them as we go along.

Additionally, if there is time I will also talk a little about some more advanced animation techniques, such as how to interpolate and rotate cameras smoothly since some of my recent work have touched upon this.



Starting Off What is Vulkan?





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└-Starting Off



Starting Off What is Vulkan?

So lets start from the top shall we? What is Vulkan?

At its core, Vulkan is "just" another graphics API, just like OpenGL or DirectX. Which obviously begs the question, why bother? By now you all know OpenGL fairly well, so what is the point learning another framework to do essentially the same thing?

And the answer (at least historically), is performance. OpenGL and older versions of DX have many, many years of "API baggage" that they need to support since many applications depend on these features.

In broad terms then: Vulkan (and modern DX) lets the programmers get closer to the hardware, *potentially* unlocking more performance.

A Motivating Example What is Vulkan?







A Motivating Example

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Dan + VS + FS + Frame

A Motivating Example What is Vulkan?

Just to give you all a motivating example. As you have seen up until now, GL application typically works on a frame-to-frame basis. This typically works just fine, but as you may already have seen in the earlier course, it is not uncommon to have an asymmetric load on the pipeline.

There is nothing in the GL application that tells the driver that "oh, and by the way you can go ahead and do some more vertex work", so in order to achieve good performance, the driver must *guess* the intent of the code.

In Vulkan, these things are done explicitly from the get-go: It is up to the programmer to tell Vulkan exactly what dependencies are present such that it can efficiently pipeline the render jobs, such as in this facetious example.

I should add though that it *is* possible to write OpenGL code such that the effect will be the same. But to do that, the programmer must know what decisions the GPU driver will make, which of course, may be different from one GPU vendor to another. So if you have ever heard a game developer talk about a "driver fast-path", this is what they are referring to.

Teaching Vulkan

Shader

- glGetUniform()
- glUniform()

Shader

- Layout

- Uniform Descriptors
- » Descriptor Pools
- » ...

 Teaching Vulkan

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Teaching Vulkan

Shader
 Shader
 GloctUniform()
 GloctUniform()
 Descrip

Tentative slide. This obviously begs the question: Why are we *not* teaching you graphics programming using Vulkan?

The main reason is simply time. As an example: In GL, to change the uniforms you need in a shader you update the shader, call glGetUniform and glUniform and you are pretty much done.

In Vulkan, such a change can be much more far-reaching: Changing the uniform also changes the "layout" of the shader, which may require you to allocate more descriptors, which may require allocating a larger descriptor pool and so on.

And, this is just to the equivalent of the glGetUniform, there is an equivalent amount of work needed to perform the equivalent action for the glUniform call.

This is all done to match more closely to what is actually done inside the driver and the hardware, and we could teach you these things, but they are pretty tedious, which means that much more work would be put on unnecessary details compared to actually teaching useful graphics concepts, such as deferred shading.

Starting Off Vulkan Basis



https://vulkan-tutorial.com/



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Starting Off

As I hope the previous example emphasized, describing the creation of a Vulkan app from scratch would take hours by itself, so I will unfortunately not have time to go into much more details.

That said, the most well known Vulkan tutorial is the one that you find along this (very unsurprisingly named) link, which walks through all the steps necessary to get a basic forward 3D renderer up and running. I went through this tutorial at some point in the past and this served as an excellent starting point.

That said, I'm not sure if I want to recommend it. No matter how you twist it, writing a Vulkan app from scratch is a long journey, and you have to write almost 2000 lines of code before you even get a basic triangle on the screen. (A typical example for OpenGL is up and running in less than 100 lines). That said, you *will* learn a lot about how a GPU actually works by the end, so whether this is worth it is up to you to decide.

The Vulkan Pipelines

The Vulkan Pipelines



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└─The Vulkan Pipelines





The typical use-case is pretty simple: Given some data in an array, operate on it and output data in another one.

Compare this with the raster pipeline, which goes all the way from primitive vertices and indices through many stages before you finally arrive at some pixels in a framebuffer. In a compute-shader, the only thing you get from the pipeline is an index into some array with up to 3 dimensions, what you do with that is then entirely up to you to write in shader code.

As a concrete example, consider how a ray tracer is typically implemented: It walks from pixel to pixel sending out rays as it goes. This is often pretty easy to convert to a compute-shader, where the index represents the pixel it is currently sending rays from.

Naturally, Vulkan have access to this pipeline, and the compute-pipeline is in fact completely separated from the raster pipe, so the setup for it is actually a lot simpler.

In fact, the compute-pipeline is sometimes so much simpler to use that some games skip the raster pipeline entirely and *only* use compute-shaders for all rendering tasks.



The Ray Tracing Pipeline

The Ray Tracing Pipeline



https://nvpro-samples.github.io/vk_raytracing_tutorial_KHR/

└─The Ray Tracing Pipeline

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The raster- and compute-pipes are not the only ones, however. During the last few years, support has been added for a few more pipelines, one of which is naturally the ray tracing pipeline, so let us get into that one shall we?

Now, instead of our instead of our raster pipeline from the previous slide, we will replace it entirely with this thing; a thing that can be a lot more complicated. In particular, I want to highlight *this*, up until now, the pipelines have always been fixed and linear, but now we are actually giving the GPUs the ability to loop or recurse based on user input.

There is of course a bit of setup required to get the Ray Tracing Pipeline up and running. And in the interest of time, I will skip over quite a few details, but for the interested reader, I can recommend the Nvidia tutorial on the subject. (I have linked down here, and I think we can make these slides and notes available later so that you can look things up if you are interested.)

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Ray Tracing Stages The Ray Tracing Pipeline

- The ray generation shader,
- the accelerator traversal stage,
- the miss shader,
- closest hit shader,
- the intersection shader, and
- the any-hit shader.



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Ray Tracing Stages

Ray Tracing Stages The Ray Tracing Pipeline

The ray generation shader,
 the accelerator traversal stage,
 the miss shader,
 closest hit shader,
 the intersection shader, and
 the any-hit shader.

Essentially, we have 6 new stages to contend with:

- · The ray generation shader,
- the accelerator traversal stage,
- the miss shader,
- closest hit shader,
- · the intersection shader, and
- the any-hit shader.

Thankfully, these shaders all follow the basic semantics used in compute shaders, so it is very straightforward to understand how to use each of these. In fact, writing the *shaders* is typically the easy part of using the ray tracing pipe.

I will not be able to do justice to all of these as I haven't actually used all of them extensively yet. But I will go through them to give you an idea of the intent behind each stage.



The Acceleration Structure



T. Karras and T. Aila, "Fast parallel construction of high-quality bounding volume hierarchies," in *Proceedings of the 5th High-Performance Graphics Conference*, ser. HPG '13, Anaheim, California: Association for Computing Machinery, 2013, pp. 89–99, ISBN: 9781450321358. DOI: 10.1145/2492045.2492055. DOI: 10.1145/2492045.2492055.



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└─The Acceleration Structure

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First though, we should probably talk about the *acceleration structure*. You have already gotten a taste of how important something like this is from the first lab, and historically, a lot of the efforts surrounding ray tracing has been focused around this structure.

However, for these modern APIs, this structure has been converted to a black box. In short: It has two levels, each of which can be rebuilt or refit and rays can only be traced against the top level. In practice, however, this structure is some kind of Bounding Volume Hierarchy, or BVH, that subdivides the search-space for each ray sent to it. The simplest analogue is a binary search tree, but for 3D space instead.

Note however where a normal binary tree typically have a unique shape for a given input, finding the "best" BVH for both 2D and 3D is believed to be an NP-hard problem [1], so a large amount of research has been poured into finding good heuristics to quickly build a decent one.

The Acceleration Structure





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L The Acceleration Structure

TLAS

The Acceleration Structure

The way we use this acceleration structure is as follows: As I mentioned, it is split into two levels, appropriately named:

TLAS Top-Level Acceleration Structure, and BLAS Bottom-Level Acceleration Structure

The creation of these structures is a bit complex since there are many low level details to consider, but at a high level it is pretty easy to see that we typically take our triangles and plop them into our BLASes, and then plop those BLASes into the TLAS. The typical linear algebra approaches is also reused here: We use separate spaces for each objects:

- TLAS-space typically represents world-space, whereas
- · BLAS space represents Object-space, but this interpretation is up to the programmer.

Additionally, As you can see *here*, it is possible to re-use the same BLAS multiple times to perform instancing, which can greatly reduce the memory footprint for repeated objects.

Notice also the dependency: The TLAS cannot be built until all BLAS have been built. This often means that the TLAS is built using a different (faster) algorithm.

Shader Binding Tables - 1



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Shader Binding Tables - 1



There is also a somewhat non-obvious issue here: How do we know what shader to actually call?

In the raster pipeline, the shaders in use is always known (there is only one set of them after all), and those are "blindly" executed. If we want to use a different one for some objects, we just bind a new shader and use that instead.

But in the ray tracing pipeline, we typically need all triangles at once to build the acceleration structure, and these may have different materials (or shaders) associated with them. So, we need to be able to call different shaders from *inside* the GPU. How do we handle something like that?

Solving this issue is the task of the so-called *shader binding table*: Essentially a jump table (or vtable) for shader calls during the ray tracing traversal. Interestingly, it is entirely up to the programmer to construct this table, who must also adhere to several strict alignment requirements, as seen in this example here.

The programmer can even store some constant data for each shader in the ShaderRecordEXT segment.

Shader Binding Tables - 2

RayGen



Shader Binding Tables - 2

RayGen	RayMiss		MaxLights	
:G ₀	M ₀	M1	CH ₀	
UniformLights		STIR		ormals

As an example: In one of my applications I have structured my table like *this*. It has a single ray-gen shader, two miss-shaders, and four different closest hit-shaders.

Thus, for this application the ray gen shader is only used once at the start to generate camera rays, but afterwards, the properties of the primitives or what the shaders themselves are doing can influence which shaders will be called next.

(Note that the hit-shaders are aligned to the *Base* alignment. This is required to allow this shader to be the first in an array.)



RayMiss



MaxLights

Shaders RayGen

layout(location = 0) rayPayloadEXT HitPayload hit; void main()

ivec2 px = ivec2(gl_LaunchIDEXT.xy); ivec2 sz = ivec2(gl_LaunchSizeEXT.xy); // ... vec4 origin = ... vec4 dir = ... traceRayEXT(...); imageStore(image, px, vec4(hit.value, 1.0));



Shaders

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Shaders

Next I thought I would mention some details about each of the ray tracing shader stages:

First up is the ray generation shader, it is arguable the simplest of the bunch and the basic idea is easy: For each pixel, generate a camera ray and trace it through the Top-Level Acceleration Structure. Afterwards, the final color is indicated by the hitPayload, a small structure that is passed along the recursive calls.

This is just for my app however, in games this is often a bit more complicated, such as reading a GBuffer and only creating shadow rays, etc.



Intersection Shaders



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Intersection Shaders



Next in line is arguably the *intersection shader*. By default, Vulkan has a built-in implementation for intersecting triangles, but it is also possible to intersect *any* geometry of your choosing. And for that, we use Intersection shaders.

The main idea is that the acceleration structure is built to contain bounding boxes, then each of these boxes is associated with an intersection shader that is called during traversal: Given that we hit the bounding box, does the ray also hit the object inside it?

(Admittedly, I haven't actually worked with this shader yet).

Hit Shaders

• Closest-Hit Shader

Hit Shaders

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Any-Hit Shader
 Closest-Hit Shader

Hit Shaders

Next we have the various types of "hit" shaders.



Shaders Closest Hit



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Starting with the closest hit: It is pretty unsurprisingly always going to return the closet hit point, such as *a* in this case simple case.

Shaders Any Hit



Shaders

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But as I alluded to before, it is not the only one; we also have the any-hit shader. This shader is primarily intended to implement some specialized types of operations, such as transparency; the way it works is that given a ray going through some hierarchy, the shader can be called for *any* hit point along the path of the ray.

The primary task for this shader is then to perform some computations, then decide whether to *commit* the intersection or not. Once committed, we effectively change the ray t_max , meaning that we cannot hit anything after it.

Note though that it is not defined *which* hit is called first, it could be *any* hit along the path (such as *a* in this case) but the implementation can return whichever of *a*, *b* or *c*, and once a hit is 'committed', no hit 'behind' it is reachable.

This is typically used to implement some kind of transparency where we do not care about refraction, but there are all manner of things that can be done. As an example, consider the case where *b* is opaque, but *a* and *c* are partially transparent. Further, let's say that the traversal first calls the *any-hit* shader on *c*. Thus, we see that we have a color that we should *probably* blend into our final color. Next, let's say that we hit *b*. Since this is opaque, we no longer care about anything behind it, so the previous result can be discarded. Finally, we hit *a*, which gives us a color that we should blend with the opaque one.

Shaders What to do in a hit shader?



Shaders

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But what do we actually do in a hit-shader? Well, that is really up to you, but as a simple example you could apply a normal phong shading by looking up the direction to some lights, or you could send a new ray recursively towards some light source to get a realistic shadowing effect.

This also opens up somewhat non-obvious issue: What exactly is a "hit"?

Unfortunately for us, there is really no free-lunch, we only get the bare minimum of information:

For triangle shaders, we only get two things: The ray t value of the hit and the barycentric coordinates. Any other data must be computed or fetched separately.

(For intersection shaders, it is up to the programmer to specify what values will be returned.)



layout (push_constant) uniform constants

vec4 clear_color;
} PushData;
layout(location = 0) rayPayloadInEXT HitPayload hit;

void main()

hit.value = PushData.clear_color.xyz;



Shaders

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Shaders

So, if we have hit-shaders, *obviously* we have to have the opposite: *miss* shaders. The idea is essentially if we do not actually hit something, this shader will be called instead.

One examples of this in use is when we want to apply a fixed color when we don't hit anything in the scene, such as this shader here.





layout(location = 1) rayPayloadInEXT bool in_shadow;

void main()

in_shadow = false;







Shaders

Another is when we want to add shadows to the object:

From the closest hit, we recursively trace a ray towards a light source, if that ray *misses*, the light sources is actually in full view from the hit point, so it is *not* in shadow. Thus, we have a miss shader that simply informs the closest hit (where we recursed from) that we are *not* in shadow.



Let Us Start Ray Tracing Then!

Command

Shaders

layout(binding = 0, set = 0) uniform accelerationStructureEXT tlas; void main()

```
ivec2 px = ivec2(gl_LaunchIDEXT.xy);
ivec2 sz = ivec2(gl_LaunchSizeEXT.xy);
// ...
traceRayEXT(tlas, /* ... */);
// ...
imageStore(image, px, vec4(color, 1.0));
```

Let Us Start Ray Tracing Then!

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Thus, to actually start ray tracing we do this:

In Vulkan call, vkCmdTraceRaysKHR, and give it the *starting* address for all shader types, as well as how many rays to send (in a width/height/depth fashion, same as for compute shaders).

Next, the RayGen shader will start executing, which will of course, generate rays for the ray tracing process; which is done by calling the TraceRayEXT function in the GLSL code. This call receives which acceleration structure to use, what ray as well as some flags, which I have omitted here.

Finally, when everything has done its things with recursions and so forth, we will return to this shader, where we usually have the final color that we want to write out somewhere, typically to a texture or frame-buffer.

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https://gustafwaldemarson.com/misc/video/bart_kitchen.mp4

- https://gustafwaldemarson.com/misc/video/bart_museum.mp4
- https://gustafwaldemarson.com/misc/video/bart_robots.mp4
- https://www.youtube.com/watch?v=V2DEMSRBKHs

Links

https://gustafwaldemarson.com/misc/video/Dart_kitches.mp4
 https://gustafwaldemarson.com/misc/video/Dart_maswm.mp4
 https://gustafwaldemarson.com/misc/video/Dart_robots.mp4
 https://www.youtube.com/vatch/vvV2DBdSBDRs

Running live-demos rarely works, and in this case, I don't even have a machine that can run the demo. Thus, let us see if a recording can be used videos can be found here. These are of course my personal, and arguably crappy applications.

If we instead look at a much better demo from some who have had a bit more man-hours available, we can get some pretty impressive ray tracing action.

Nvidia says that this demo is entirely path-traced in real time, which is very impressive to say the least.



Animations

• Rigid Body Animation

Per-Vertex Animation

Skeletal Animation



Animations

Rigid Body Animation
 Per-Vertex Animation
 Skeletal Animation

Animations

(If there is time)

I typically work with offline ray tracing, but when it starts moving over to real-time, one need to start to consider how to handle animations as well.

Naturally though, there several types of animations all with different pros and cons. The most common is undoubtedly the Rigid Body Animation, which is what you have worked with the most in these two courses.

Beyond that, we have at least two major kinds of animations: Per-Vertex- and Skeletal Animations.



Rigid Body Animation



Rigid Body Animation



But for completeness, let us start with rigid bodies: I think this may be familiar to most, but just in case:

There are 3 things that encompasses a rigid body transformation:

- Translations in space,
- Rotations,

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• and Scaling, or Skewing operations.

Thus, any rigid body transform can thus be represented using a 4×3 matrix.

However, if you want to animate the transformations, these quantities must be kept separate when interpolating and then combined when doing the actual rendering.

(It may be of interest to know that each of the transform 'states' are typically referred to as "keyframes", or simply "keys".)

Rigid Body Animation



Rigid Body Animation

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Rigid Body Animation

As far as Vulkan is concerned with however, this type of animation fits directly into the ray tracing API. When constructing the acceleration structure, each BLAS is associated with a rigid-body transform during construction, thus animating this type of transform is trivial and only requires rebuilding or refitting of the TLAS each frame.

BlendShape Per-Vertex Animations



• Animate each vertex

- + Full control of any attribute
- + Simple to animate
- Data-intensive
- Rebuild/Refit after each update

struct BlendShape

};

std::vector<Mesh> keys; std::vector<f32> times; BlendShape

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Next we have the so-called Per-Vertex Animations, or BlendShapes as they are sometimes called.

Per vertex animations are rather simple: Each attribute is allowed to be arbitrarily deformed, so we just interpolate between them. This essentially give us full control of the animation with a relatively easy algorithm (implementation wise). However, as you can imagine, per-vertex animations requires duplicating each mesh for each of the keys, which may be very data intensive.

Additionally, since the animation is entirely arbitrary, the BLAS that contains it must also be refit or rebuilt after each animation step.

but it still the favored approach for some complex animations with a lot of motion, such as accurate facial animations.

Skeletal Animation

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-Skeletal Animation

Skeletal Animation



Lastly, we have skeletal animations, as the name suggests, it is based around the animation of "bones" that the vertices are attached to.

In essence, this makes for a less data-intensive animation process, but makes the animation algorithm much more complicated.

This has traditionally been used very effectively on the raster pipeline, since the bone animation typically maps pretty well to the vertex shader. However, there is no such mapping between the current ray tracing APIs and skeletal animations.

- Attach vertices to "bones"
 - + Less data intensive
 - Complicated compute step
- +/- Good fit for raster pipeline







Interpolation



Interpolation

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 Liker (2 point)
 Spine (is point)
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 ToB
 ToB

Interpolation

For completeness, I've included this slide about interpolations. That is, an algorithm for computing the values between two other values. And as this list hints at, there are *many* interpolation algorithms out there. Linear interpolation is well known, but for smooth animation variations of Bezier curves are commonly.

Suffice to say that my demo uses the so called TCB splines, since that is what the scenes I'm using have data for. The details of this type of spline are not really important for this presentation, however.

Interpolating Rotations Quaternions and Spherical Interpolation



• Rotations are tricky to interpolate

- In short, use Quaternions.
- Efficient algorithm to interpolate between orientations, "slerp"

K. Shoemake, "Animating rotation with quaternion curves," *SIGGRAPH Comput. Graph.*, vol. 19, no. 3, pp. 245–254, Jul. 1985, ISSN: 0097-8930. DOI: 10.1145/325165.325242. [Online]. Available: https://doi.org/10.1145/325165.325242



Interpolating Rotations

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Interpolating Rotations

Rotations are tricky to interpolate

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Interpolating between locations and scaling factors is pretty easy, but it may not be obvious is how to interpolate *rotations*. In short, one uses the Quaternion based algorithm known as slerp or "spherical interpolation". As a descriptive example: Consider the task of interpolating between two points on a circle. You cannot linearly interpolate, as that would "cross" the circle. Instead, you have to use some trigonometry to correctly find the point along the arc. This is essentially what the slerp algorithm does.

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Interpolating Rotations Quaternions and Spherical Interpolation



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LInterpolating Rotations



Typically though, we are interested in the 3D rotations, which is the primary reason to use Quaternions. Without them, computing this kind of orientation change would be pretty tricky.



Figure: 3D spherical interpolation example

Thanks for Listening Questions



- Thanks for listening!
- Questions and Answers



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Thanks for listening!
 Questions and Answers

Thanks for Listening Questions

But that is all, so thanks for listening; if you have any other question, compiler related or otherwise feel free to ask!



Compiler Master Thesis

Minimum Register Sufficiency Approximation for Scheduling DAGs



T. Leighton and S. Rao, "Multicommodity max-flow min-cut theorems and their use in designing approximation algorithms," *J. ACM*, vol. 46, no. 6, pp. 787–832, Nov. 1999, ISSN: 0004-5411. DOI: 10.1145/331524.331526. [Online]. Available: https://doi.org/10.1145/331524.331526



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Compiler Master Thesis



Compiler Master Thesis Minimum Register Sufficiency Approximation for Scheduling DA

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In modern GPUs, one of the goal of the compiler is to maximize thread occupancy which is normally achieved by keeping register usage below a certain threshold. However, scheduling with the main goal of reducing register pressure often yield suboptimal performance as it hinders the instruction level parallelism (ILP), i.e. penalizing latency, within a single thread.

The goal of this thesis is to research techniques for approximating the minimum register requirement for a given scheduling DAG. The idea is to be able to compute the register requirements of a program in a timely fashion (i.e. polynomial time) before scheduling and provide this information to the scheduler. The work will be conducted and integrated in the LLVM based Mali GPU compiler backend.

One related work in literature to be considered as a starting point for this work is [3].

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Graphics Master Theses

- GPU Animations for the Ray Tracing Pipeline
- Volume Sampling Triangle Meshes
- VR Hyperlapse

• ...

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Graphics Master Theses

GPU Animations for the Ray Tracing Pipeline
 Volume Sampling Triangle Meshes
 VR Hyperlapse

Mike and I are also working on setting up some additional topics that are more directly tied to graphics. I should stress though that these are not done as an Arm intern, which gives us a bit more freedom in choosing topics.

In particular, I would be very interested in supervising students looking into various strategies for performing animations inside the ray tracing pipeline.

Beyond that, we are also looking into various ways of efficiently distributing samples inside the *volume* of a mesh. Typically, in graphics we almost exclusively work with the surfaces of objects, but occasionally we also need to understand the inside; things such as smoke or clouds all change appearance based on the relative density inside it.

Additionally, consider destructible objects: If we want the destruction process to be believable for arbitrary objects, a very efficient algorithm for finding realistic internal fracture points is necessary. These types of algorithms could be a good starting point.

Finally, we were thinking of looking into ways of doing sped up VR recordings. It is already straightforward to record and replay VR experiences, but if you try to speed it all up you often only get a nauseating mess. For video there is already a technique informally known as *hyperlapsing* and it would be interesting to see if some of these techniques could be applied to VR.

These are of course only a few suggestions, if you have something else in mind, feel free to talk with Mike, Rikard or me, and we will see if we can create a project around that.



Ray Tracing Links

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Ray Tracing Links

Ray Tracing Links

https://www.gan-lib.org/docs/nodes/raytracing.php

• https://www.gsn-lib.org/docs/nodes/raytracing.php

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